

Glacier National Park Progress Report

March 2014

Prepared by Tim Assal and Jason Sibold

Colorado State University

Our initial objective was to investigate the influence of the 1970s mountain pine beetle (MPB) outbreak on subsequent wildfire severity. We planned to use the US Forest Service annual forest health aerial detection surveys (ADS). However, within Glacier National Park the historical ADS data rarely included information on the number of trees killed per acre (severity), which is commonly included in contemporary ADS data and is critical to relating outbreaks to forest processes and change. Furthermore, the forest patches identified in the ADS data were very large (e.g. > 70,000 ha) and even incorporated areas that did not have host species for mountain pine beetle. Although useful for broad-scale monitoring, we suspect the historical ADS data does not represent the heterogeneous impacts of the disturbance. Because both MPB outbreaks and wildfires are heterogeneous at relatively fine scales (~20-30m), identifying relationships between the two disturbances necessitated a more fine-scale map of the MPB outbreak. Consequently, developing a method that used archival remote sensing data to reconstruct the MPB outbreak became the primary focus of this project.

In the first phase of our work, we developed a new method for reconstructing past bark beetle outbreaks using a novel combination of multiple lines of evidence, including aerial photography and Landsat imagery (Figure 1). The lack of spatially explicit data on this disturbance represents both a major data gap and a critical research challenge in that wildfire fire has removed some of the evidence from the landscape. This is a critical first step in our overall goal of identifying the ecological consequences of the interactions of bark beetles with subsequent fire events over the last several decades in the US Rocky Mountains. We believe the method provides a quantitative application of remote sensing to forest disturbance. Furthermore, our work affords a

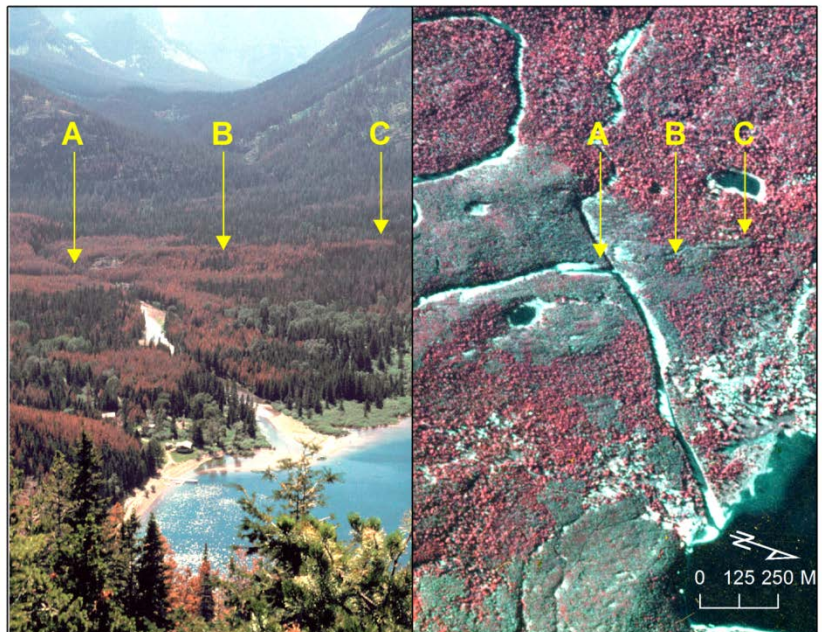


Figure 1. (Left) Landscape photo taken in the Summer of 1980 showing a mixture of live and dead trees in the red attack stage in Waterton Valley (source: Glacier National Park Research Library). (Right) A color-infrared aerial photo of the same area acquired in October 1980 (source: NASA/Glacier National Park). The mosaic of live and dead forest can be identified in both images. The letters correspond to the same area in each photo (A = stream confluence, B = small patch of live trees, surrounded by dead forest, C = linear ribbon of dead forest). See attached manuscript for full description of methods.

platform for future research of historical forest disturbance that would be very beneficial to the field of forest ecology.

In February 2014 we submitted a manuscript detailing our methods and findings to the journal *Remote Sensing of Environment*, where it is currently in review. A copy of the manuscript is attached to the report. Please do not distribute or cite the paper as it has not yet been published. The final product of this analysis is a model of mountain pine beetle severity in Glacier National Park (Figure 2). We were able to identify a gradient of mountain pine beetle mortality on the landscape using changes in satellite imagery reflectance over time. Our findings confirm that outbreak severity was significantly heterogeneous across the landscape.

We are now using this information to investigate the influence of mountain pine beetle mortality on fire severity. We are using GIS overlay analysis with our newly developed MPB outbreak severity map and maps of wildfire severity from the Monitoring Trends in Burn Severity data for all wildfires in the park between 1984 and 2006. Coupling these two data sets will allow us to gather additional information about the interaction of these two disturbances. For example, we can now calculate that 98% of the area that burned inside the park in the 1988 Red Bench Fire was impacted by some level of the mountain pine beetle outbreak. Of that area, 85% was impacted by mountain pine beetles as first detected in 1976. Moreover, we are comparing burn severity and mountain pine beetle outbreak severity to investigate how MPB outbreak severity interacts with wildfires to shape patterns of fire severity (Figure 3). Whereas it is only a single fire event, MPB-wildfire interactions in the Red Bench Fire indicate a positive relationship between MPB severity and fire severity. More specifically, forests that experienced no MPB outbreak or low-severity MPB outbreak experienced more low- and moderate-severity burned areas, and forests that experienced moderate- and high-severity MPB outbreak had more areas that experienced moderate- and high-severity fire. We are currently testing these relationships for all fires that burned in the study area to test if the relationships identified for the Red Bench Fire are consistent. Furthermore, we are testing if relationships between MPB and fire are contingent on the time interval between MPB outbreak and fire.

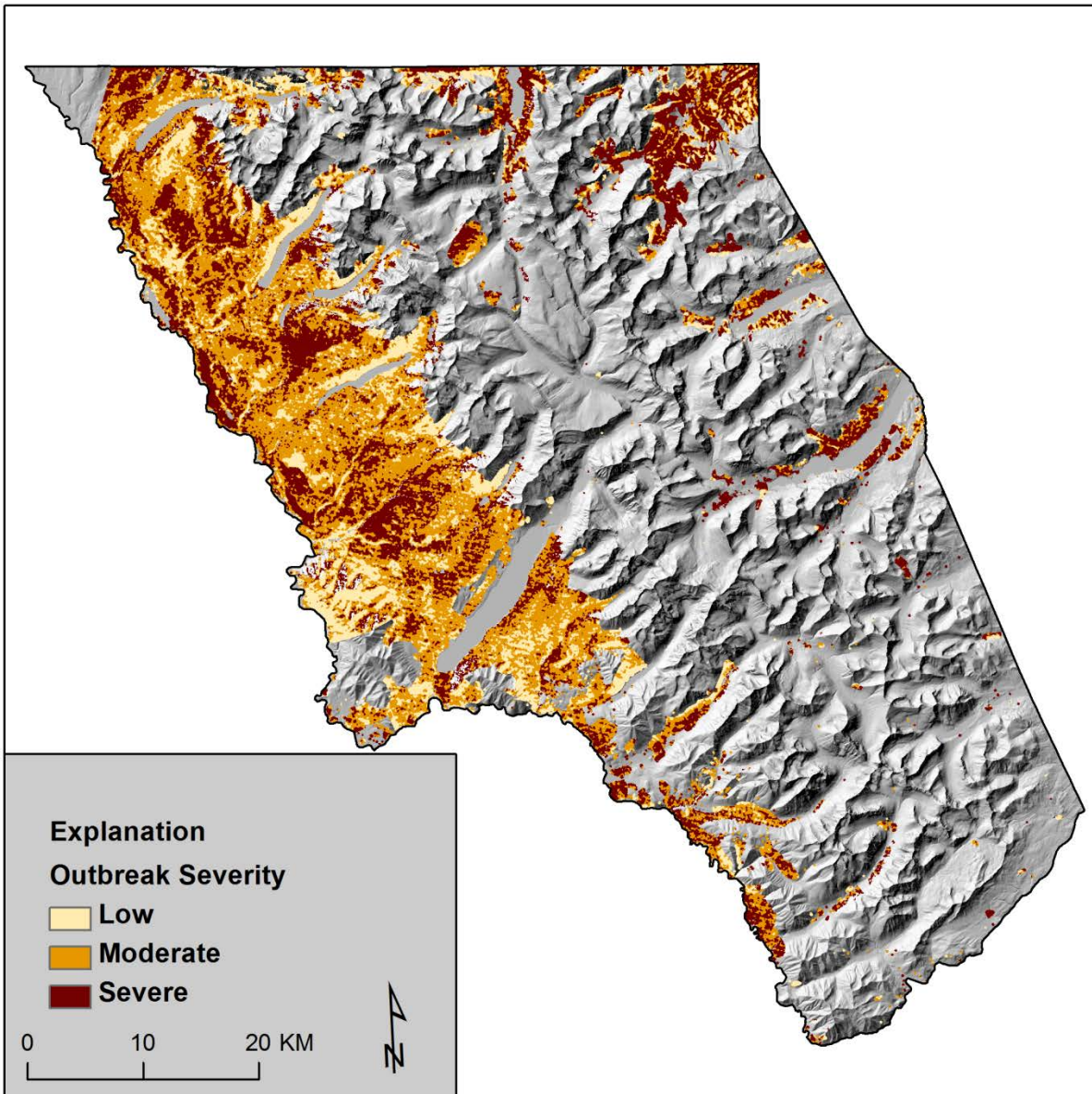


Figure 2. The output of the spatial model classified into three severity levels.

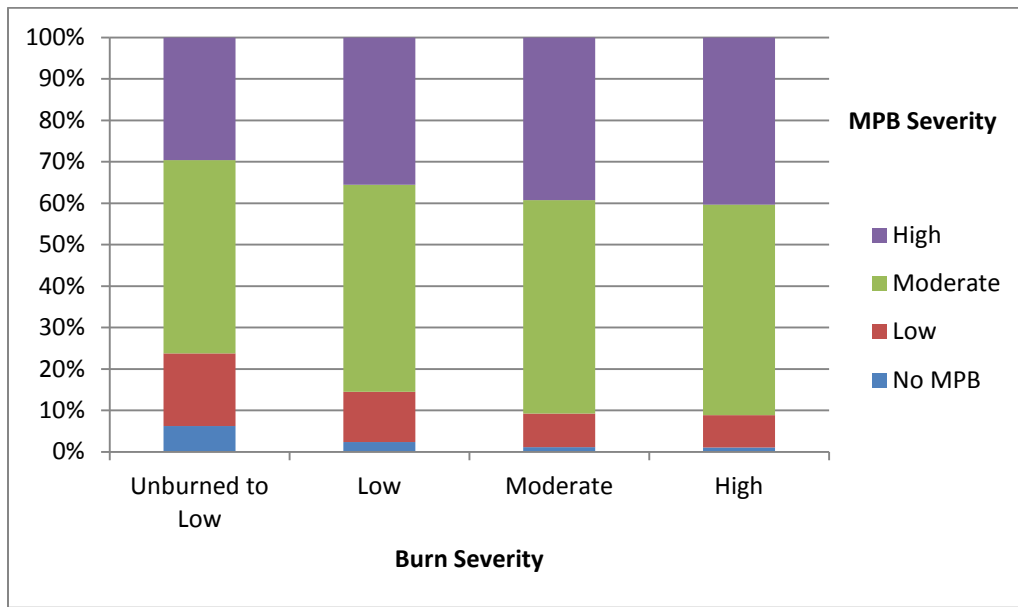


Figure 3. A comparison between the severity of the 1970s mountain pine beetle outbreak and burn severity of the 1988 Red Bench fire.

1 **Modeling an Historical Mountain Pine Beetle Outbreak Using Multiple Lines of Evidence**

2 TIMOTHY J. ASSAL^{a, b*}, JASON SIBOLD^c, and ROBIN REICH^d

3 ^aGraduate Degree Program in Ecology, Colorado State University, 1401 Campus Delivery, Fort
4 Collins, CO 80523, USA

5 ^bU.S. Geological Survey (USGS), Fort Collins Science Center, 2150 Centre Avenue, Fort
6 Collins, CO 80526, USA

7 ^cDepartment of Anthropology, Colorado State University, 1787 Campus Delivery, Fort Collins,
8 CO 80523, USA

9 ^dDepartment of Forest and Rangeland Stewardship, Colorado State University, 1472 Campus
10 Delivery, Fort Collins, CO 80523, USA

11

12

13

14

15

16 **Corresponding author at: USGS, Fort Collins Science Center, Fort Collins, CO 80526, USA.*

17 *Tel.: +1 970 226 9134.*

18 *E-mail address: assalt@usgs.gov (T.J. Assal).*

19

20

21

22

23 **ABSTRACT**

24 Mountain pine beetles are significant forest disturbance agents, capable of inducing widespread
25 mortality in coniferous forests in western North America. Various remote sensing approaches
26 have assessed the impacts of beetle outbreaks over the last two decades. However, few studies
27 have addressed the impacts of historical mountain pine beetle outbreaks, including the 1970s
28 event that impacted Glacier National Park. The lack of spatially explicit data on this disturbance
29 represents both a major data gap and a critical research challenge in that wildfire fire has
30 removed some of the evidence from the landscape. We utilized multiple lines of evidence to
31 model forest canopy mortality as a proxy for outbreak severity. We incorporate historical aerial
32 and landscape photos, aerial detection survey data, a nine-year collection of satellite imagery and
33 abiotic data. This study presents a remote sensing based framework to (1) relate measurements of
34 canopy mortality from fine-scale aerial photography to coarse-scale multispectral imagery and
35 (2) classify the severity of mountain pine beetle affected areas using a temporal sequence of
36 Landsat data and other landscape variables. We sampled canopy mortality in 267 plots from
37 aerial photos and found that insect effects on mortality were evident in changes to the
38 Normalized Difference Vegetation Index (NDVI) over time. We tested multiple spectral indices
39 and found that a combination of NDVI and the green band resulted in the strongest model. We
40 report a two-step process where we utilize a generalized least squares model to account for the
41 large-scale variability in the data and a binary regression tree to describe the small-scale
42 variability. The final model had a root mean square error estimate of 9.8% canopy mortality, a
43 mean absolute error of 7.6% and an R^2 of 0.82. The results demonstrate that a model of percent
44 canopy mortality as a continuous variable can be developed to identify a gradient of mountain
45 pine beetle severity on the landscape.

46 **1. Introduction**

47 Temperate forest ecosystems are subject to various ecological disturbances that can have
48 profound effects on the structure of the ecosystem for many years after the event (Turner & Dale,
49 1998) and influence the likelihood, severity and spread of subsequent disturbances (Veblen et al.,
50 1994). In western North America, native bark beetles are a major disturbance agent capable of
51 regional-scale forest mortality (Raffa et al., 2008). Remotely sensed imagery has been used to
52 characterize such widespread disturbance events over the last two decades (Wulder et al., 2006a).
53 However, very little research has employed these techniques to study insect disturbance prior to
54 the recent period of extended outbreak (~pre late 1990s). The northern Rocky Mountains
55 experienced a widespread mountain pine beetle outbreak in the late 1970s to early 1980s (Logan
56 & Powell, 2001). However, the lack of spatially explicit data on the extent and severity of this
57 outbreak limits our understanding of the influence that this disturbance had on the landscape. To
58 overcome this challenge, we utilized multiple lines of evidence to retrospectively characterize
59 forest canopy mortality from the outbreak by comparing temporal changes in archived satellite
60 imagery.

61 *1.1 Mountain Pine Beetle Overview*

62 The mountain pine beetle (*Dendroctonus ponderosae*) is a native species found in the
63 western United States and Canada that attacks and reproduces in live trees (Bentz et al., 2010).
64 The mechanisms with which populations switch to epidemic levels are complex (Bentz et al.,
65 2010; Raffa et al., 2008), but include suitable host availability (amount, vigor, age and density)
66 and condition (Fettig et al., 2007), along with beetle population survival and growth given
67 thermal conditions (Powell & Logan, 2005). Epidemic populations are capable of landscape-
68 scale forest mortality leading to cascading effects on forest structure, species composition and

69 function (Raffa et al., 2008). Major host species include lodgepole pine (*Pinus contorta*),
70 ponderosa pine (*P. ponderosa*), and whitebark pine (*P. albicaulis*) (Bentz et al., 2010). Impacted
71 forests exhibit unique and visible characteristics at each stage of a mountain pine beetle attack
72 (Wulder et al., 2006a). Killed trees begin to show visible changes as the foliage changes from
73 green to yellow to red over the first year after the attack. The gray attack stage typically
74 commences three years after the attack, as most trees will have lost all needles at that time
75 (Wulder et al., 2006a).

76 *1.2 Remote Sensing and Disturbance*

77 Historical aerial photography is a valuable research tool providing detailed records of forest
78 landscapes over the last half century or more. Although limited in spatial extent, these records
79 provide a fine-scale snapshot of landscapes at one or multiple points in time. Previous studies
80 have successfully used aerial photos collected during two or more time periods to measure
81 changes in tree cover (Brown et al., 2006; Di Orio et al., 2005; Kadmon & Harari-Kremer, 1999;
82 Kennedy & Spies, 2004; Manier et al., 2005; Platt & Schoennagel, 2009; Strand et al., 2006).
83 The use of satellite multispectral imagery to map and monitor forest condition over larger
84 regions is also well documented (Cohen et al., 2001; Maselli, 2004; Nemani et al., 2009;
85 Schroeder et al., 2006; Townshend et al., 2012; Volcani et al., 2005) dating back to the early
86 1970s with the initiation of the Landsat program (NASA, 2013). Several studies have used aerial
87 photos as a surrogate for field data collection and then used that information to scale up to
88 satellite imagery. This technique has been accomplished to map various attributes including land
89 cover type (Parmenter et al., 2003), tree cover (Carreiras et al., 2006; Cohen et al., 2001; Homer
90 et al., 2007), and surface imperviousness (Homer et al., 2007). Photos can be used to sample
91 post-disturbance forest patterns, such as canopy mortality. The aerial photo reference data can be

92 used to bridge the gap in scale between localized tree mortality measures and the more coarse
93 scale of satellite imagery (Meddens et al., 2013). This hybrid approach allows for detection of
94 fine-scale disturbance patterns captured in the aerial photos, while taking advantage of the
95 multispectral and multitemporal components of Landsat imagery at the landscape scale.
96 Furthermore, it provides a pathway to conduct a retrospective analysis.

97 Ecological disturbance alters ecosystem structure by both abrupt, conspicuous change and by
98 gradual, slow change over some period of time. Such impacts allow remote sensing to capture
99 the pre- and post-landscape, and in some cases, the duration of the event. Aerial photos have
100 been utilized to investigate the impacts of fire (Bebi et al., 2003; Johnson & Fryer, 1987), insect
101 damage (Bebi et al., 2003; White et al., 2005), extreme drought (Allen & Breshears, 1998), and
102 blowdown (Baker et al., 2002) on forest and woodland ecosystems. At regional scales,
103 multispectral satellite imagery has been employed to study diverse types of forest disturbance
104 including fragmentation (Fuller, 2001), fire (Turner et al., 1994), drought (Huang et al., 2010)
105 and insect induced mortality (DeRose et al., 2011; Vogelmann et al., 2009). Numerous studies
106 have utilized multispectral imagery to document the extent and severity of the recent mountain
107 pine beetle outbreak over the last decade. Efforts range from fine-scale satellite and aerial
108 multispectral imagery acquired from one time period (Coops et al., 2006; Dennison et al., 2010;
109 Hicke & Logan, 2009; Meddens et al., 2011), to moderate resolution sensors incorporating
110 multiple time periods (Goodwin et al., 2008; Meddens et al., 2013; Meigs et al., 2011; Wulder et
111 al., 2006b).

112 We found few studies in the literature that used the first generation of Landsat data to detect
113 mountain pine beetle outbreaks or other insect-driven forest disturbance. The Landsat
114 Multispectral Scanner System (MSS) sensor was carried onboard the first five Landsat satellites

115 and provided imagery from 1972 until 1995 (NASA, 2013). Researchers in British Columbia
116 (Harris et al., 1978) used single date MSS imagery to detect damage caused by the Douglas-fir
117 tussock moth and western spruce budworm with little success. Weber et al. (1975) employed
118 single date MSS imagery to map mountain pine beetle damage in Ponderosa pine. Rencz and
119 Nemeth (1985) tested both a single date approach and a change detection approach over a six-
120 year period to map mountain pine beetle damage in British Columbia. Both mountain pine beetle
121 studies concluded that MSS imagery does not have the capability to detect beetle damage given
122 the spatial resolution of the imagery. However, the British Columbia study (Rencz & Nemeth,
123 1985) noted greater detection accuracy at sites with heavy, continuous damage, suggesting the
124 spatial resolution is less limiting in areas with high-severity outbreaks.

125 *1.3 Outbreak Impacts to Forest Vegetation Spectral Properties*

126 Living vegetation absorbs blue and red light energy, while radiation in the green and near-
127 infrared portion of the electromagnetic spectrum is reflected (Jones & Vaughan, 2010).
128 Therefore, color-infrared photos can be used to distinguish between areas of live trees and dead
129 trees. As the foliage of killed trees changes during the first year after the attack, the spectral
130 response also begins to change (Rencz & Nemeth, 1985). At the cellular level, mortality
131 contributes to a reduction in foliar moisture and chlorophyll, as other pigments and cellular
132 structure begins to break down (Mauseth, 1988). As a result, the spectral reflectance in the red
133 wavelength (630-690 nm) increases, whereas the reflectance in the green wavelength (520-600
134 nm) decreases (Ahern, 1988).

135 Disturbances where large portions of forest vegetation are removed from the landscape, such
136 as fire and clear cutting, create a drastic change in spectral reflectance. Conversely, subtle
137 changes in foliage color associated over time may prove more difficult to detect. Nevertheless,

138 the phenology associated with mortality caused by an outbreak will lead to a change in satellite-
139 detected reflectance of the forest canopy. An analysis of multiple years of moderate spatial
140 resolution imagery has the potential to capture reflectance patterns before, during and after
141 landscape-scale disturbance events (Goodwin et al., 2008; Wulder et al., 2006a).

142 Multiple types of spectral indices have been employed to detect the impacts of mountain pine
143 beetle disturbance over the last decade. Examples of indices include the Normalized Difference
144 Moisture Index (Goodwin et al., 2008, 2010; Meddens et al., 2013), the Tasseled Cap (Meddens
145 et al., 2013), the Enhanced Wetness Disturbance Index (Skakun et al., 2003; Wulder et al.,
146 2006b), the Normalized Burn Ratio (Meigs et al., 2011), the Red-Green Index (RGI) (Coops et
147 al., 2006; Hicke & Logan, 2009; Meddens et al., 2013), the Band 5/Band 4 Ratio (Meddens et
148 al., 2013), and the Normalized Difference Vegetation Index (Meddens et al., 2013). Various
149 levels of success were obtained with each index. Many of these indices are derived from Landsat
150 TM or ETM+ imagery. However, Landsat TM imagery is not available prior to 1984 and
151 Landsat ETM+ imagery is not available before 1999. Because the outbreak that is the focus of
152 this study erupted in the mid-1970s, Landsat MSS imagery represents the only available satellite
153 imagery. Given the four multispectral bands of MSS (Table 1), we were only able to utilize a
154 subset of these indices.

155 *1.4 Aerial Detection Survey Data*

156 The US Forest Service (USFS) has been conducting annual forest health aerial detection
157 surveys (ADS) since the middle of the 20th century. In summary, human observers record the
158 type and extent of abiotic and biotic disturbances and host species onto sketch maps (Meigs et
159 al., 2011). The sketch maps are hard copy maps used by human observers in planes that are later
160 converted to digital form. This data has successfully been integrated into remote sensing

161 detection studies of insect disturbance (Meddens et al., 2012; Meigs et al., 2011). The Forest
162 Health Protection Aviation Program in USFS Region 1 (including Glacier National Park)
163 maintains digital files of the ADS data since 2000. Staff at Glacier National Park digitized the
164 ADS data from 1962-1998. The data include information about insect species, host tree species,
165 damage type, and forest type. However, very few polygons contained information on the number
166 of trees killed per acre (severity), which is commonly included in contemporary ADS data and is
167 critical to relating outbreaks to forest processes and change. Furthermore, the disturbance
168 polygons identified in the ADS data were very large (e.g. > 70,000 ha). Although useful for
169 broad-scale monitoring, we suspect the ADS data does not represent the heterogeneous impacts
170 of the disturbance. Since we are interested in both the extent and severity of the disturbance,
171 these missing details heavily influenced the direction of this study.

172 *1.5 Objectives*

173 The goal of the study was to test an approach combining multiple lines of evidence to
174 reconstruct the extent and severity of a mountain pine beetle outbreak in a topographically
175 complex landscape. Furthermore, subsequent disturbance (fire) has removed evidence from large
176 areas of the study area. To accomplish this, we used a combination of aerial detection survey
177 data, historical aerial and landscape photos, National Park Service reports and a temporal
178 sequence of satellite imagery. Each data source has limitations in the spatiotemporal record.
179 However, by combining disparate sources of data across spatial and temporal scales, we aimed to
180 reduce the uncertainty associated with reconstructing outbreak parameters. Employing multiple
181 lines of evidence from independent data sources has the potential to extend the information
182 associated with each piece of data and create a robust composite picture of the outbreak
183 (Swetnam et al., 1999). Reference data was collected from aerial photos and scaled up to satellite

184 imagery measurements over time. We hypothesized that the impacts of the disturbance to the
185 forest canopy (i.e. mortality) would be captured in spatiotemporal changes in reflectance. Finally
186 we sought to demonstrate a novel approach in the use of existing data to assess an historic
187 disturbance.

188 The objectives of this study are to:

- 189 1. Relate measurements of canopy mortality from fine-scale aerial photography to coarse-
190 scale multispectral imagery;
- 191 2. Classify the severity of mountain pine beetle affected areas using a temporal sequence
192 of Landsat data and other landscape variables.

193 **2. Methods**

194 *2.1 Study Area*

195 The study was located in Glacier National Park in northwestern Montana, USA (Figure 1).
196 The area was chosen because of the extensive mountain pine beetle epidemic that occurred there
197 in the 1970s (Hamel et al., 1977; McGregor et al., 1975). The park encompasses 4,080 km²
198 (408,000 ha) of diverse terrain on either side of the Continental Divide. Mean average annual
199 precipitation is 73.1 cm, and average annual maximum and minimum temperatures are 11.9 °C
200 and -0.2 °C, respectively (1971-2000) (Western Regional Climate Center, West Glacier station,
201 elevation: 970 m, <http://www.wrcc.dri.edu>; accessed 17 December 2012). The climate averages
202 from this station are consistent with stations on the east side of the park. Elevation ranges from ~
203 950 m to 3184 m above sea level and major cover types include grasslands, conifer and
204 deciduous forests, lakes, wide glacial valleys and steep alpine zones. Forests are dominated by
205 lodgepole pine (*Pinus contorta*), western larch (*Larix occidentalis*), Engelmann spruce (*Picea*
206 *engelmannii*) and Douglas-fir (*Pseudotsuga menziesii*).

207 Given the size and diverse landscape of the park, we limited the study area based on several
208 assumptions. First, vegetation cover types not susceptible to mountain pine beetle attack were
209 identified using ReGAP (Davidson et al., 2009) and omitted. Second, we calculated the
210 cumulative extent of mountain pine beetle damage identified by the ADS data between 1971 and
211 1987. The area not impacted by the mountain pine beetle outbreak during the buffered time
212 period was omitted from further analysis. The area of interest was also confined by the extent of
213 available satellite imagery used in the analysis. The confined area of interest is 1195 km²
214 (119,552 ha) and ranges in elevation from ~ 950 m to 2960 m above sea level (Figure 1).

215 *2.2 Aerial and Landscape Photograph Processing*

216 Six color infrared aerial photographs were obtained in digital format from the US Geological
217 Survey's Earth Resources Observation and Science Center (Figure 1). Four of the photos were
218 acquired in 1982 (west of the Continental Divide), two in 1984 (east of the divide). All photos
219 have a scale of 1:58,000 and were scanned at a resolution of 1800 dots per inch. The photos were
220 orthorectified to a 2009 NAIP photo (National Agriculture Imagery Program) using numerous
221 ground control points (GCPs) and a 30 m digital elevation model (DEM). The average root mean
222 square error (RMSE) for each photo was less than two meters. We independently assessed the
223 average displacement between each of the orthorectified images and the 2009 NAIP image at
224 multiple locations within each image pair. The average displacement between both sets of
225 images was less than two meters and deemed acceptable. The orthorectification was
226 accomplished using the Leica Photogrammetry Suite (LPS) (Erdas, Inc., Norcross, GA, USA).

227 We searched two landscape photographic archives in an effort to locate additional sources
228 with evidence of the disturbance. The US Geological Survey's Photographic Library contains
229 hundreds of photographs of Glacier National Park, dating back more than 100 years.

230 Unfortunately there were no photos from the 1970s and 1980s that captured any apparent stage
231 of the outbreak. However, the Glacier National Park Research Library contained several color
232 photos taken in the late 1970s or 1980 that contained evidence of the outbreak. In several cases
233 the extent of the aerial color infrared photo and the color landscape photo were congruent. We
234 were able to match the two photos and identify unique patterns and patches of mortality in each
235 photo. Although this was a qualitative analysis, the additional information provided us with
236 concrete evidence of the disturbance in the aerial photos (Figure 2).

237 *2.3 Aerial Detection Survey Data*

238 Glacier National Park supplied us with a digital version of the ADS data from 1962-1998.
239 We subset annual shapefiles from 1971 to 1987 since this corresponded with the start of the
240 outbreak and the last year before extensive fires in the park (1988). We queried polygons
241 associated with mountain pine beetle using the *Damage Causal Agent* attribute code and clipped
242 the shapefile to the extent of the park for each year. Each annual shapefile was converted to an
243 annual grid (30 m) and snapped to the master Landsat image. The grids were aggregated to form
244 a cumulative mountain pine beetle extent and used to constrain the study area. We did examine
245 the ADS data for other disturbance agents within the park to ensure there were no unaccounted
246 disturbances. However, we found very few disturbance polygons, accounting for a very small
247 area, within the analysis mask.

248 *2.4 Satellite Image Processing*

249 We conducted a search of the US Geological Survey's EarthExplorer archive (USGS,
250 2012) to acquire relatively cloud-free scenes of the study area before, during and after the peak
251 of the outbreak. We acquired 24 Landsat Multispectral Scanner System (MSS) scenes for
252 preliminary evaluation. However, many of the scenes contained clouds, were acquired too early

253 or late in the growing season or contained striping in the data. We retained nine scenes to be used
254 in the investigation (Table 2). Late summer data were used (late August-September) due to
255 availability of cloud-free imagery and the presumed relative phenological stability of the forests
256 during this time period (Vogelmann et al., 2009). All of the scenes were preprocessed by the US
257 Geological Survey to level 1T (terrain corrected data) and therefore we did not apply a
258 topographic normalization. MSS imagery has a spatial resolution of 60 m in four spectral bands
259 (Table 1).

260 Twenty GCPs were established to compare the spatial accuracy between the 2009 NAIP
261 photo and a 2010 Landsat Thematic Mapper (TM) image of the study area. We used the
262 AutoSync module in Erdas Imagine to georectify the image to the 2009 photo (RMSE < 0.5
263 pixel). This process was then repeated to georectify each of the 9 Landsat MSS images to the
264 2010 TM image. Each MSS image had an RMSE < 0.4 pixel and was resampled in AutoSync
265 during the georectification process. The MSS images were resampled to 30 m using a nearest
266 neighbor transformation to minimize geometric offsets in the image stack (Goodwin et al., 2008).
267 However, the spatial resolution of the data is still considered 60 m.

268 Radiometric calibration of imagery is an important step for creating a consistent, high-
269 quality temporal image series for use in change detection analysis. We converted the four bands
270 of each image from Digital Numbers to absolute units of at-sensor spectral radiance using the
271 formula (Chander et al., 2009):

$$272 \quad L_{\lambda} = (LMAX_{\lambda} - LMIN_{\lambda} / Q_{calmax} - Q_{calmin}) * (Q_{cal} - Q_{calmin}) + LMIN_{\lambda} \quad (1)$$

273 where

274 L_{λ} = Spectral radiance at the sensor's aperture [W/(m² sr μm)]

275 Q_{cal} = Quantized calibrated pixel value [DN]

276 Q_{calmin} = Minimum quantized calibrated pixel value corresponding to $L_{MIN\lambda}$ [DN]

277 Q_{calmax} = Maximum quantized calibrated pixel value corresponding to $L_{MAX\lambda}$ [DN]

278 $L_{MIN\lambda}$ = Spectral at-sensor radiance that is scaled to Q_{calmin} [$W/(m^2 \text{ sr } \mu m)$]

279 $L_{MAX\lambda}$ = Spectral at-sensor radiance that is scaled to Q_{calmax} [$W/(m^2 \text{ sr } \mu m)$]

280

281 The spectral radiance values were converted to Top-Of-Atmosphere (TOA) reflectance
282 to account for differences in sensor and viewing angle using the formula (Chander et al., 2009):

283
$$\rho_{\lambda} = \pi * L_{\lambda} * d^2 / ESUN_{\lambda} * \cos\theta_s \quad (2)$$

284 where

285 ρ_{λ} = Planetary TOA reflectance [unitless]

286 π = Mathematical constant equal to ~ 3.14159 [unitless]

287 L_{λ} = Spectral radiance at the sensor's aperture [$W/(m^2 \text{ sr } \mu m)$]

288 d = Earth-Sun distance [astronomical units]

289 $ESUN_{\lambda}$ = Mean exoatmospheric solar irradiance [$W/(m^2 \mu m)$]

290 θ_s = Solar zenith angle [degrees]

291

292 All scenes were processed by the USGS using the Level 1 Product Generation System
293 (LPGS) and therefore included a header file (.MTL). Inputs used in the formulas above were
294 supplied by the header file for each scene and Chander et al. (2009).

295 Each image was then snapped to the reference image (1979 image) in ArcGIS to ensure
296 that each 30 m pixel for every year was exactly congruent with the master image. An absolute
297 normalization was applied to the 1979 master image using a dark object subtraction technique
298 (Chavez 1988). The minimum pixel value of each band (recorded in at least 1000 pixels),

299 representing deep glacial lakes and shadows, was identified (Chavez, 1996). The theoretical
300 radiance of a dark object is assumed to have 1% reflectance (Chavez, 1996; Moran et al., 1992)
301 so the minimum identified pixel value was multiplied by 0.99 to generate the presumed dark
302 object of each image band.

303 The remaining images were normalized to the master image using a relative
304 normalization technique. This procedure removes non-surface noise and improves the temporal
305 homogeneity between images so that spectral change associated with surface phenomena can be
306 detected (Yuan & Elvidge, 1996). Pseudo-Invariant Features (PIFs) are targets in each image that
307 are not expected to change between image dates (Schott et al., 1988). Relative normalization is
308 based on the assumption that a linear relationship exists between the reference image and the
309 image to be normalized (Schott et al., 1988; Yuan & Elvidge, 1996). This technique has been
310 applied in many studies to analyze vegetation change (Bradley & Fleishman, 2008; Schroeder et
311 al., 2006; Vicente-Serrano et al., 2008). We identified 60 PIFs that encompassed a range of
312 pseudo-invariant reflectance values in each band. Each PIF was 32,400 m² in size; equivalent to
313 a 3x3 block of 60 m Landsat MSS pixels. The mean of the reflectance values at these sites were
314 used to fit an ordinary least squares regression model between the image to be normalized for
315 each year and the reference image for each of the four bands. We tested the residuals for spatial
316 autocorrelation using the Moran's I statistic and the Likelihood Ratio Test (Legendre & Fortin,
317 1989). If spatial autocorrelation was detected, a spatially autoregressive model was used to fit the
318 data (Cressie, 1993). In all cases, the fit of lines used to spectrally align the images had R² values
319 > 0.92. Statistical analysis was conducted using the r package (R Development Core Team,
320 2011) and the linear regression was applied to each image in Erdas Imagine.

321 Given the four multispectral bands of MSS, we were only able to utilize three spectral indices
322 in the model evaluation process (Table 3). The GNDVI is sensitive to the presence of chlorophyll
323 since the green spectral region is used instead of the red region (Carreiras et al., 2006). We did
324 not use Band 3 as a covariate as it is often highly correlated with band 4 of MSS data. A
325 preliminary investigation identified that NDVI performed the best among spectral indices. In an
326 effort to limit redundancy in the data, we transformed the NDVI time series using principal
327 component analysis. The principal components were used as predictor variables in one of the
328 five models tested.

329 *2.5 Sampling*

330 We estimated beetle induced forest mortality using data collected from the aerial photos and
331 compared these measurements with changes in spectral values over time. We segregated the
332 landscape into 12 different facets based on slope and aspect. These two variables influence forest
333 composition, tree vigor and subsequent susceptibility to mountain pine beetle (Raffa et al.,
334 2008). Furthermore, dividing the landscape into sub-regions of similar biophysical characteristics
335 can isolate spectral gradients (Homer et al., 2004). Both variables were derived from the
336 elevation dataset. Aspect was classified into four categories (north, east, south or west) while
337 slope was classified into three quantiles: low (<12%), moderate (12-29%) and high (>29%).
338 Initially 350 random points were proportionally allocated in each of the 12 landscape classes,
339 and square plots of 180 m x 180 m were delineated around the center of each point. The plot size
340 was chosen considering the spatial resolution of the satellite imagery, i.e. 3 x 3 Landsat MSS
341 pixels. A negative buffer was used to insure that plots were located completely within one
342 landscape facet. Many of the initial plots were deleted (10%) because they did not completely
343 fall within a landscape facet. In addition, limitations due to topographic shadow or image blur

344 from the orthorectification process warranted the omission of some plots (13%). As a result, each
345 landscape facet did not contain the same number of sampling plots.

346 An unsupervised classification in Erdas Imagine was conducted on each air photo resulting in
347 20 classes. We used an iterative approach to determine the number of unsupervised classes that
348 maximized spectral separation without generating an unwieldy number of classes. For each plot,
349 we manually interpreted the 20 classes and assigned each class to live forest, dead forest, or
350 shadow (Figure 3). We then calculated the ratio of dead canopy cover to total canopy cover in
351 each plot. We omitted shadow pixels as they represent unknown cover types.

352 *2.6 Statistical Analysis*

353 Regression analysis can be used to explain large-scale variability, while model residuals can
354 be used to describe small-scale variability in the data (Cressie, 1993). We used a generalized
355 linear model (GLM, Gaussian distribution, Identity link function) to identify a set of explanatory
356 variables to estimate canopy cover change on the sample plots over time. Predictor variables
357 included spectral indices derived from nine years of Landsat MSS data, topography (elevation,
358 slope, aspect and topographic position index), and variables derived from the ADS data (first
359 year detected, last year detected and total number of years detected). Aspect and the variables
360 derived from the aerial survey data were treated as indicator variables in the analysis. Aspect was
361 binned into four classes: North (0-45°; 315-365°), East (45-135°), South (135-225°), and West
362 (225-315°). Three categorical variables were derived from the aerial survey data: first year of
363 attack (early, mid, or late in the outbreak), last year of attack (early, mid, or late in the outbreak)
364 and total number of years recorded during the outbreak (low, moderate, or high).

365 We tested five models in our analysis using different combinations of vegetation indices as
366 the primary biotic variables. For each model, a stepwise selection by Akaike's Information

367 Criterion (AIC) was used to identify the best subset of independent variables to include in the
368 regression models (R Development Core Team, 2011). The aspect variable was allowed to
369 interact with the primary vegetation index in each model. We evaluated the models through
370 consideration of AIC, the mean absolute error of prediction (MAE) and the root mean square
371 error of prediction (RMSE). Furthermore, a ten-fold cross validation procedure (DAAG package
372 in R) was employed to calculate the prediction error of each model.

373 Residual error from the regression model can be utilized to describe the small-scale
374 variability in the data (Manier et al., 2005; Reich et al., 2011). We modeled the residual error
375 from the selected regression model using a binary regression tree. We tested the residuals of the
376 selected GLM model and the regression tree model for spatial autocorrelation using the Moran's
377 I statistic (Legendre & Fortin, 1989). The sampled plots were clustered on the landscape into
378 three distinct groups based on the availability of the aerial photos. We assumed points between
379 each cluster were spatially independent and employed a block diagonal spatial weights matrix
380 (Upton & Fingleton, 1985) to account for the clustered nature of the plots.

381 The residuals of the GLM-CART model exhibited spatial autocorrelation. We addressed
382 the issue by running the regression analysis using a Generalized Least Squares (GLS) model. A
383 variogram was fit using the residuals of the GLM model to describe the degree of spatial
384 dependence in the residuals. A Gaussian variogram model was fit to the sample variogram using
385 least squares to estimate the nugget, sill and range. The GLS regression was used to estimate the
386 parameters of the trend surface model in the presence of spatial autocorrelation. We allowed plot
387 location (east or west of the Continental Divide) to enter the model to test if the outbreak impacts
388 were different on either side of the divide.

389 After parameterizing and validating the models, forests canopy change was projected to
390 the landscape area of interest in three steps. First a trend surface was created from the parameters
391 of the GLS model using the raster calculator in ArcGIS. Next, a surface of the residuals
392 generated from the regression tree model was created using a series of conditional statements in
393 the raster calculator. Finally, the trend and residual surfaces were added together to create a
394 continuous surface of forest canopy change scaled between 0 and 1. Areas of cloud cover, cloud
395 shadow and topographic shadows represent uncertainty and were omitted from the analysis. Only
396 two years of data (1978 and 1983) contained sparse clouds, but topographic shadows were
397 present in all years. We applied a NDVI threshold (< 0.2) to remove clouds and topographic
398 shadows (Hicke & Logan, 2009) and cloud shadows were manually delineated and removed.

399 **3. Results**

400 *3.1 Aerial Detection Survey Data*

401 Our analysis of the aerial survey data indicates the outbreak was first identified in 1971 in the
402 north-west portion of the park in very small isolated patches. The outbreak continued to spread
403 from these centers until the mid-1970s when it was reported widely across the western portion of
404 the park (Figure 4). There was no data available for 1975, and the following year the area
405 affected by beetles significantly expanded on the western side of the park. The aerial survey
406 continued to report large areas impacted from 1977 through 1980. The outbreak was first
407 identified east of the Continental Divide in the north central and north east portion of the park in
408 1979. In the early 1980s, the area affected by beetles quickly decreased (Figure 5).

409 *3.2 Determination of Tree Canopy Cover*

410 A total of 267 plots were used to estimate tree canopy mortality from the air photo analysis
411 (Table 4). Initially, 282 plots were analyzed, but 15 were removed from the data set because the

412 photo plots fell within topographic shadows, cloud cover or cloud shadows in the satellite
413 imagery. The study area is dominated by west facing slopes, followed by south, east and north.
414 Each aspect class did not contain the same number of plots (see Section 2.5). However, the
415 number of plots in each aspect class is an adequate reflection of the percentage of the study area
416 in each aspect class. Plots ranged from very little mortality (4.4%) to nearly complete mortality
417 (99.8%). West-facing plots had the highest mean mortality (68.3%), while plots in the east aspect
418 class had the lowest mean mortality (49.4%) (Table 4). The majority of the data is concentrated
419 in mortality classes ranging from 40-90% (Figure 6). Given the severity and extent of the
420 outbreak, this is not an unexpected finding.

421 *3.3 Model Adjustment and Validation*

422 The model that employed NDVI and the Green Band (NDVI+G) (Table 5) provided the best
423 estimation of canopy change over time. This model had the lowest AIC (-237.55), MAE
424 (10.8%), and RMSE (13.6%) values while accounting for the greatest amount of explained
425 variability (65.4%) (Table 5). Furthermore this model had the lowest prediction error (15.4%) of
426 any model from the cross validation procedure. The incorporation of a green band resulted in a
427 stronger model than using NDVI alone (Table 5). The NDVI and PCA models had identical
428 coefficients of determination, and similar MAE and RMSE. However, the PCA model had
429 substantially higher prediction error. The GNDVI model did not perform as well as the three
430 NDVI based models and the red-green index proved to be a poor indicator of mortality.

431 The NDVI+G model was selected to describe the large-scale variability of canopy change
432 over time. However, the residuals of the GLM model exhibited spatial autocorrelation (Moran's I
433 test; $p < 0.0001$) indicating that the null hypothesis of spatial independence in the residuals be
434 rejected. The variables included in the NDVI+G model were then analyzed using a GLS model

435 that explained 62% of the variability with higher MAE (18%) and RMSE (21.1%) than the GLM
436 model (Table 6, Figure 7). However, the residuals of the GLS model did not exhibit spatial
437 autocorrelation (Moran's I test; $p=0.64$). The green band from 1978 was the most important
438 predictor west of the Continental Divide, with a relative contribution to the model of 10.7%. The
439 green band from 1987 was the most important predictor east of the divide, with a relative
440 contribution to the model of 11.8%. Decreased values of green band reflectance indicated a
441 substantial increase in canopy mortality. NDVI from 1977 and 1981 were highly significant in
442 the model on the west side of the park ($p<0.001$) and also exhibited a negative relationship with
443 canopy mortality. NDVI from 1977 was also significant on the east side of the park.

444 The residuals were used to model the small-scale variation in the data using binary regression
445 trees. The initial regression tree identified 27 nodes and location (east or west of divide) did not
446 enter the analysis, so one tree was used to fit both sides of the Continental Divide. Given that
447 regression trees are prone to overfitting, we conducted a 10-fold cross validation on the data and
448 subsequently pruned the tree to 22 nodes. This simplified the model while still accounting for
449 spatial autocorrelation. The combined model (GLS + CART), which captures both the large- and
450 small-scale variability, had a lower rate of MAE (7.6%) and RMSE (9.8%) than the GLS model.
451 The combined model increased the amount of explained variability in the data by nearly 20% (R^2
452 = 0.819) (Figure 8). The residuals of the combined model are spatially independent (Lagrange
453 multiplier test; $p=0.27$) and the standardized mean square error (SMSE) of the combined model
454 is 0.996. An SMSE value of one indicates consistency between the estimation error variance and
455 the observed error variance in the model (Hevesi et al., 1992).

456 The combined model was then applied spatially to the study area as a continuous surface
457 with modeled canopy cover change scaled between 0 and 1. We binned the modeled data into

458 three categories based on natural breaks in the data (Figure 9). This classification resulted in 20%
459 of the project area in the low category (< 0.37 canopy change), 46% in the moderate and 34% in
460 the severe category (>0.62 canopy change). Pockets of high severity are found throughout the
461 park across the elevation gradient present. The three classes are generally represented across the
462 study area. However, it should be noted that pockets of low and severe impacts are clustered,
463 with the moderate severity often forming a transition between the classes.

464 To provide perspective on the classification, a color-infrared photo and corresponding
465 classification map is shown in Figure 10. Based on these visual comparisons, our model appears
466 to capture high levels of mortality associated with beetle attack areas as well as areas not as
467 heavily impacted. Furthermore, the gradient of impact on the landscape appears to be well
468 represented in the model. Example spectral trajectories of the three classes show clear
469 delineation during extent of the outbreak (Figure 11).

470 **4. Discussion**

471 A primary objective of our analysis was to develop a methodology to reconstruct the extent
472 and severity of the outbreak. We were able to identify a gradient of mortality on the landscape
473 using changes in NDVI and the green band reflectance over time. Our findings confirm the
474 outbreak was not homogenous across the landscape (Figure 9). The reported error metrics are
475 reasonable given limitations in the data and comparable to related studies of insect impacts on
476 the forest canopy (Townsend et al., 2012). Error associated with the ADS data was not
477 quantified. Furthermore, this information was collected by observers presumably working under
478 difficult conditions. Therefore we suggest our model represents an unbiased view of the
479 disturbance. In addition, the modeling framework we applied in this study should be transferable
480 to other areas with similar forest disturbance characteristics.

481 This study builds on the ideology of many of the aforementioned studies which used
482 remotely sensed data to document various stages of the late 1990s-mid 2000s mountain pine
483 beetle outbreak. The common theme is the development of a time series imagery stack to assess
484 spectral changes over time (Goodwin et al., 2008; Meddens et al., 2013; Meigs et al., 2011).
485 However, we were unable to utilize many of the vegetation indices (e.g. Normalized Difference
486 Moisture Index) used in these studies. Given that our study objectives hinged around an historic
487 disturbance that occurred in the mid-1970s and early 1980s, we were unable to use imagery with
488 the spectral resolution needed for many of those indices. The major difference in our study and
489 those described in section 1.3, is that the disturbance we are interested in occurred in the 1970s
490 and early 1980s. This predates the advent of Landsat TM/ETM+ imagery and other finer scale
491 imagery employed in those studies.

492 There were two main differences between our study and those that used MSS data (Harris et
493 al., 1978; Rencz & Nemeth, 1985; Weber et al., 1975). First, we attempted to capture the
494 gradient of the disturbance on a continuous scale between 0 and 1. Second, we employed
495 multiple time periods of imagery to assess spectral changes at sites over time. Although Rencz
496 and Nemeth (1985) used a change detection procedure, there was a gap of six years between
497 images. The use of just two images was likely insufficient to capture the full range of phenology
498 associated with the disturbance from pre-attack through the green, red and gray stages, followed
499 by the likely expansion of understory growth following canopy mortality. Conducting a
500 retrospective analysis afforded us several advantages over the prior MSS studies. The Landsat
501 archive is now readily available at no cost, removing the financial burden that inhibited prior
502 investigators from developing a time series imagery stack (Woodcock et al., 2008). Furthermore,

503 advances in radiometric calibration provide a basis for standardized comparison between images
504 acquired on different dates and by different sensors (Chander et al., 2009).

505 There are several strengths associated with our study that allowed us to overcome numerous
506 limitations. Overall, we provide an objective framework that can be applied to other areas, at
507 other time periods, involving other types of forest disturbance. The major limitation of
508 quantifying a disturbance over a large, topographically complex landscape where subsequent fire
509 has erased some of the evidence was overcome using existing data that has been archived for a
510 number of years. The remote sensing archive allowed us to extract information about the
511 condition of the forest canopy across spatiotemporal scales. By employing multiple lines of
512 evidence, each independent data source contributed to a composite picture of the disturbance
513 (Swetnam et al., 1999). Several key factors led to a successful analysis. The first was employing
514 a mask to restrict the area of analysis (Garrity et al., 2013) to forest types where mountain pine
515 beetle had the potential to impact. The second critical element was the development of a
516 normalized time series of reflectance (Townsend et al., 2012; Vogelmann et al., 2012) to
517 characterize changes over time. We obtained many more images (24) than we ultimately used
518 (9), but this was necessary to conduct an exhaustive evaluation of available imagery. The
519 consistent level of pre-processing performed on the imagery by the USGS and our procedure to
520 convert data to at-surface reflectance aided in the success. Furthermore, the image acquisition
521 dates were within a six-week window, which limited intra-year differences. The final critical
522 element was the development of a novel approach to measure mortality in available aerial photos
523 and scale up to multiple years of satellite imagery. This procedure was crucial given the absence
524 of field data.

525 *4.1 Ecological Considerations*

526 In areas where mountain pine beetle disturbance induces high mortality in the forest canopy
527 over a short time period, there will be a relatively quick change in NDVI. Therefore these areas
528 will have a heightened chance of detection by remote sensing methods. In addition, the release of
529 light, nutrients and moisture will occur at one time period. Therefore the flush of understory
530 growth will likely occur over a relatively short time period. This increases the likelihood of
531 obtaining a tight sequence of images to detect these rapid changes. Given the high severity of the
532 impact, the model identified large negative relative contributions of the green band in the 1970s
533 on the west side of the divide, indicative of an increase in canopy mortality. However, the 1987
534 green band was significant, with a large positive relative contribution to the model. This can be
535 interpreted ecologically in that there was a sharp increase in canopy mortality during the late
536 1970s, but understory growth was prevalent in these high severity areas by the late 1980s. The
537 outbreak moved from the west to east over the divide. The 1987 green band had a large negative
538 contribution to the east side model, suggesting recent canopy mortality dominated the spectral
539 signature, while understory regrowth was likely not widespread.

540 However, the impacts of mountain pine beetle disturbance on the forest canopy do not
541 always exhibit characteristics that are easily identified by remote sensing methods. Areas that
542 have lower amounts of mortality will be composed of a mix of live and dead trees resulting in a
543 gradient of mortality over the duration of the disturbance. As trees die over this time period, they
544 will likely be interspersed with live trees. Given that the spectral response of a pixel is an
545 amalgamation of all elements present (Lefsky & Cohen, 2003), there will be a smaller change in
546 reflectance. Additionally, as individual trees die, the release of resources will impact a smaller
547 area of understory regrowth. The localized understory regrowth could offset or suppress the
548 change in reflectance associated with canopy mortality. This problem is manifested on the

549 landscape as the cycle of canopy mortality, resource release, and understory flush could be
550 occurring simultaneously in localized areas.

551 Several ecological phenomena could pose challenges to this methodology, particularly if the
552 recent disturbance history of the study area is unknown. Other disturbances could be identified
553 by this method, without being attributed to mountain pine beetle. We were able to incorporate
554 ancillary data about the mountain pine beetle outbreak such as ADS data, park reports and
555 knowledge from park staff to supplement the primary imagery method. Harvest events typically
556 have sharp geometric boundaries (Goodwin et al., 2008) that often persist in reflectance patterns
557 for quite some time after the event. Unknown fires that are low severity or small in area could be
558 difficult to segregate from insect disturbance mortality, particularly if the event corresponds with
559 a gap in satellite imagery. Other insect disturbances such as mortality or defoliation events in the
560 study area could be detected as well (Meigs et al., 2011; Townsend et al., 2012). We analyzed
561 the *Damage Causal Agent* attribute code of the aerial survey data and found nearly no other
562 disturbance types recorded within the study area during the time periods 1971-87. Given that our
563 objective was to detect landscape-scale mortality associated with a widespread, high-severity
564 disturbance, we were not concerned with these small disturbances.

565 Periods of drought and fluctuations in hydrologic year (Oct.-Sept.) precipitation could impact
566 inter-annual indices of vegetation reflectance in areas of low mortality. However, our
567 normalization procedure should account for some of these differences between imagery years.
568 The establishment of appropriate reference conditions of an area remains a challenge in
569 ecological studies (Millar et al., 2007). Finally, all of the aforementioned challenges are made
570 more complex when attempting to conduct a retrospective analysis of historical forest
571 disturbance.

572 *4.2 Technical Considerations*

573 The technological challenges associated with this study are centered on the spatial, temporal
574 and spectral resolution of the aerial photographs and satellite imagery. Although we were
575 constrained to the use of best available data for the time period, consideration of some of the
576 shortcomings is necessary. We used aerial photographs collected in 1982 (four) and 1984 (two).
577 The scale of each photograph (1:58,000) was relatively coarse. This scale does not allow for the
578 identification of an individual tree crown. However, we believe the size of the photo plots (180
579 m x 180 m) was adequate to characterize the level of mortality within a stand. Given that our
580 objective was to measure canopy mortality, we were confined to using color-infrared
581 photographs. We would have considered natural color photographs if they had been available in
582 the archive. There were additional photographs available in the archive that were not selected
583 due to a combination of acquisition date, coarse resolution and gray scale film. Although
584 nominal, there are acquisition costs associated with historic aerial photos, and the
585 orthorectification process can be time consuming.

586 Additional landscape photographs would have been extremely helpful. However, we were
587 limited by those that were taken by park staff at the end of the outbreak and housed in the
588 National Park Service archive. Although they were not used in a quantitative analysis, they
589 provided valuable evidence of the impact of disturbance.

590 The Landsat MSS imagery employed in this study is also subject to spatial, temporal and
591 spectral constraints. Although we resampled the MSS imagery from 60 to 30 m to aid in the
592 georectification process, we still considered the spatial resolution to be 60 m. Pixels represent an
593 amalgamation of all spectral properties of elements found within a 60 x 60m footprint on the
594 ground (Lefsky & Cohen, 2003). Therefore the spatial resolution of MSS imagery is limiting to

595 the amount of mortality that can be detected at one pixel between multiple time periods. As a
596 result, areas that experienced low mortality may have been underestimated by our model. The
597 temporal limitations of the image archive are two-fold. The study may have benefited from a
598 higher frequency of images collected every calendar year. Also, it would have been preferable to
599 have additional image years to establish pre-outbreak conditions. However, it was not tenable to
600 alleviate these constraints given the available imagery and the timing of the disturbance. The
601 spectral resolution of MSS imagery is limited compared to TM/ETM+ imagery. Many of the
602 indices that have been successfully applied to recent outbreaks are developed from a wider
603 spectral range than that of MSS. All of these factors may limit the sensitivity of the study to
604 detect different levels of mortality, especially low levels of mortality. However, given the scale
605 and severity of the disturbance, coupled with the dense imagery stack that was assembled, we
606 were still able to achieve acceptable results.

607 The Tasseled Cap transformation for Landsat data has been used to distill information from
608 Landsat imagery in forest disturbance mapping (Healey et al., 2005). However, we did not use
609 the Tasseled Cap transformation in our analysis. Unlike Landsat TM and ETM+, Tasseled Cap
610 coefficients have not been developed for MSS imagery that has been converted to reflectance
611 data (Schowengerdt, 2007). Our normalization process depended on normalized reflectance
612 values and not Digital Numbers. The established Tasseled Cap transformation can only be
613 applied to Landsat MSS imagery in Digital Numbers.

614 We chose to classify the continuous output into three categories based on natural breaks in
615 the data. Although relative differences are taken into account, the threshold between each class is
616 somewhat subjective. Prior investigators have used lower thresholds (low $\leq 10\%$, moderate
617 (11-29%), and severe $> 30\%$ of stands killed) (Aukema et al., 2006) or additional classes of

618 mortality severity (e.g. trace, light, moderate, severe, and very severe) (Meddens et al., 2012).
619 However, these two studies were considering ADS data which contained a measure of the
620 number of trees or the percentage of stand killed. This type of classification scheme does not
621 translate directly to our model. For example, if 15% of the trees were killed in a localized area, it
622 could have a large impact on the reflectance of those pixels and overestimate the severity. This
623 issue could be exacerbated by the coarse resolution of Landsat MSS pixels. Given that there is no
624 precedent for this type of analysis we opted for a natural break classification scheme.

625 Our modeling framework was exhaustive in using multiple lines of evidence that represented
626 the best available data. Our model incorporated the full extent of available spectral reflectance in
627 MSS imagery (green, red and near infrared bands). Only band 3 was discarded given that it was
628 highly correlated with band 4. Furthermore, the spectral information used by the model can be
629 readily interpreted. NDVI is a commonly used index to assess ecological change (Pettorelli et al.,
630 2005) and its behavior can be reasonably predicted from plant physiology theory (Garrity et al.,
631 2013). Plant material containing chlorophyll reflects in the green wavelength. The reflectance in
632 the green band would be expected to decrease as the amount of chlorophyll in a pixel is reduced
633 from plant mortality. Therefore, the inclusion of the green band provides a measure of the
634 amount of chlorophyll present within a pixel over time.

635 **5. Conclusions**

636 We have presented a framework that incorporates multiple lines of evidence to
637 retrospectively characterize a landscape scale mountain pine beetle disturbance. Furthermore, we
638 have demonstrated that Landsat MSS data is a valuable tool to extend the moderate resolution
639 imagery record back to the early 1970s. We conclude that our approach is suitable to characterize
640 the extent and severity of the event despite initial data limitations. Key considerations of the

641 application of our model include the size and severity of the disturbance, as well as the timing
642 (first date, last date, and duration) of the satellite imagery. Our approach captures the
643 characteristics of a disturbance event that significantly impacts numerous ecological processes.
644 Given the availability of these data sources, the characterization of recent events will afford
645 investigators additional tools to study disturbance interactions and ecological legacies at the
646 landscape scale.

647

648 **Acknowledgements**

649 This research was supported by an NPS grant through the Rocky Mountains Cooperative
650 Ecosystem Studies Unit (IMR H1200090004), logistical support was provided by the USGS Fort
651 Collins Science Center, and satellite imagery provided by the USGS Earth Resources
652 Observation Systems Data Center. We thank Jeffrey Morisette for his comments that helped to
653 improve the manuscript. We thank the following people for discussion on research direction
654 and/or provision of data: Dennis Divoky, Sheree West, Jeff Hicke, Michael O'Donnell, Dan
655 Manier, Alexandra Urza and Sayat Temirbekov.

656

657

658 **References**

- 659 Ahern, F. J. (1988). The effects of bark beetle stress on the foliar spectral reflectance of
660 lodgepole pine. *International Journal of Remote Sensing*, 9(9), 1451–1468.
- 661 Allen, C. D., & Breshears, D. D. (1998). Drought-induced shift of a forest-woodland ecotone:
662 rapid landscape response to climate variation. *Proceedings of the National Academy of
663 Sciences*, 95(25), 14839–42.
- 664 Aukema, B. H., Carroll, A. L., Zhu, J., Raffa, K. F., Sickley, T. A., & Taylor, S. W. (2006).
665 Landscape level analysis of mountain pine beetle in British Columbia, Canada:
666 spatiotemporal development and spatial synchrony within the present outbreak. *Ecography*,
667 29, 427–441.
- 668 Baker, W. L., Flaherty, P. H., Lindemann, J. D., Veblen, T. T., & Eisenhart, K. (2002). Effect of
669 vegetation on the impact of a severe blowdown in the southern Rocky Mountains, USA.
670 *Forest Ecology and Management*, 168(1-3), 63–75. doi:10.1016/S0378-1127(01)00730-7
- 671 Bebi, P., Kulakowski, D., & Veblen, T. T. (2003). Interactions between Fire and Spruce Beetles
672 in a Subalpine Rocky Mountain Forest Landscape. *Ecology*, 84(2), 362–371.
- 673 Bentz, B. J., Régnière, J., Fettig, C. J., Hansen, E. M., Hayes, J. L., Hicke, J. A., Kelsey, R. G.,
674 Negrón, J. F., & Seybold, S. J. (2010). Climate Change and Bark Beetles of the Western
675 United States and Canada: Direct and Indirect Effects. *BioScience*, 60(8), 602–613.
676 doi:10.1525/bio.2010.60.8.6
- 677 Bradley, B. A., & Fleishman, E. (2008). Relationships between expanding pinyon–juniper cover
678 and topography in the central Great Basin, Nevada. *Journal of Biogeography*, 35(5), 951–
679 964. doi:10.1111/j.1365-2699.2007.01847.x
- 680 Brown, K., Hansen, A. J., Keane, R. E., & Graumlich, L. J. (2006). Complex interactions
681 shaping aspen dynamics in the Greater Yellowstone Ecosystem. *Landscape Ecology*, 21(6),
682 933–951. doi:10.1007/s10980-005-6190-3
- 683 Carreiras, J. M. B., Pereira, J. M. C., & Pereira, J. S. (2006). Estimation of tree canopy cover in
684 evergreen oak woodlands using remote sensing. *Forest Ecology and Management*, 223(1-
685 3), 45–53. doi:10.1016/j.foreco.2005.10.056
- 686 Chander, G., Markham, B. L., & Helder, D. L. (2009). Remote Sensing of Environment
687 Summary of current radiometric calibration coefficients for Landsat MSS , TM , ETM + ,
688 and EO-1 ALI sensors. *Remote Sensing of Environment*, 113(5), 893–903.
689 doi:10.1016/j.rse.2009.01.007
- 690 Chavez, P. S. (1988). An improved dark-object subtraction technique for atmospheric scattering
691 correction of multispectral data. *Remote Sensing of Environment*, 24(3), 459–479.
692 doi:10.1016/0034-4257(88)90019-3

- 693 Chavez, P. S. (1996). Image-Based Atmospheric Corrections - Revisited and Improved.
694 *Photogrammetric Engineering & Remote Sensing*, 62(9), 1025–1036.
- 695 Cohen, W. B., Maier-sperger, T. K., Spies, T. A., & Oetter, D. R. (2001). Modelling forest cover
696 attributes as continuous variables in a regional context with Thematic Mapper data.
697 *International Journal of Remote Sensing*, 22(12), 2279–2310.
698 doi:10.1080/01431160121472
- 699 Coops, N. C., Johnson, M., Wulder, M. A., & White, J. C. (2006). Assessment of QuickBird high
700 spatial resolution imagery to detect red attack damage due to mountain pine beetle
701 infestation. *Remote Sensing of Environment*, 103(1), 67–80. doi:10.1016/j.rse.2006.03.012
- 702 Cressie, N. A. (1993). *Statistics for Spatial Data* (Revised., p. 928). New York, NY: Wiley-
703 Interscience. doi:978-0471002550
- 704 Davidson, A., Aycrigg, J., Grossmann, E., Kagan, J., Lennartz, S., McDonough, S., Miewald, T.,
705 Ohmann, J., Radel, A., Sajwaj, T., & Tobalske, C. (2009). Digital Land Cover Map for the
706 Northwestern United States. Moscow, ID: Northwest Gap Analysis Project: USGS GAP
707 Analysis Program.
- 708 Dennison, P. E., Brunelle, A. R., & Carter, V. A. (2010). Assessing canopy mortality during a
709 mountain pine beetle outbreak using GeoEye-1 high spatial resolution satellite data. *Remote*
710 *Sensing of Environment*, 114(11), 2431–2435. doi:10.1016/j.rse.2010.05.018
- 711 DeRose, R. J., Long, J. N., & Ramsey, R. D. (2011). Combining dendrochronological data and
712 the disturbance index to assess Engelmann spruce mortality caused by a spruce beetle
713 outbreak in southern Utah, USA. *Remote Sensing of Environment*, 115(9), 2342–2349.
714 doi:10.1016/j.rse.2011.04.034
- 715 Di Oriò, A. P., Callas, R., & Schaefer, R. J. (2005). Forty-eight year decline and fragmentation
716 of aspen (*Populus tremuloides*) in the South Warner Mountains of California. *Forest*
717 *Ecology and Management*, 206(1-3), 307–313. doi:10.1016/j.foreco.2004.11.011
- 718 Fettig, C. J., Klepzig, K. D., Billings, R. F., Munson, A. S., Nebeker, T. E., Negrón, J. F., &
719 Nowak, J. T. (2007). The effectiveness of vegetation management practices for prevention
720 and control of bark beetle infestations in coniferous forests of the western and southern
721 United States. *Forest Ecology and Management*, 238(1-3), 24–53.
722 doi:10.1016/j.foreco.2006.10.011
- 723 Fuller, D. O. (2001). Forest fragmentation in Loudoun County, Virginia, USA evaluated with
724 multitemporal Landsat imagery. *Landscape Ecology*, 16, 627–642.
- 725 Garrity, S. R., Allen, C. D., Brumby, S. P., Gangodagamage, C., McDowell, N. G., & Cai, D. M.
726 (2013). Quantifying tree mortality in a mixed species woodland using multitemporal high
727 spatial resolution satellite imagery. *Remote Sensing of Environment*, 129, 54–65.
728 doi:10.1016/j.rse.2012.10.029

- 729 Gitelson, A. A., Kaufman, Y. J., & Merzlyak, M. N. (1996). Use of a Green Channel in Remote
730 Sensing of Global Vegetation from EOS-MODIS. *Remote Sensing of Environment*, 58(3),
731 289–298.
- 732 Goodwin, N. R., Coops, N. C., Wulder, M. A., Gillanders, S., Schroeder, T. A., & Nelson, T.
733 (2008). Estimation of insect infestation dynamics using a temporal sequence of Landsat
734 data. *Remote Sensing of Environment*, 112, 3680–3689. doi:10.1016/j.rse.2008.05.005
- 735 Goodwin, N. R., Magnussen, S., Coops, N. C., & Wulder, M. A. (2010). Curve fitting of time-
736 series Landsat imagery for characterizing a mountain pine beetle infestation. *International*
737 *Journal of Remote Sensing*, 31(12), 3263–3271. doi:10.1080/01431160903186277
- 738 Hamel, D., McGregor, M., & Oakes, R. (1977). Status of Mountain Pine Beetle Infestation
739 Glacier National Park, 1976. Missoula, MT.
- 740 Harris, J., Dawson, A. F., & Goodenough, D. (1978). Evaluation of LANDSAT Data for Forest
741 Pest Detection and Damage Appraisal Surveys in British Columbia. *Report BC-X-182*.
742 Victoria, BC: Pacific Forest Research Centre.
- 743 Healey, S., Cohen, W., Zhiqiang, Y., & Krankina, O. (2005). Comparison of Tasseled Cap-based
744 Landsat data structures for use in forest disturbance detection. *Remote Sensing of*
745 *Environment*, 97(3), 301–310. doi:10.1016/j.rse.2005.05.009
- 746 Hevesi, J. A., Istok, J. D., & Flint, A. L. (1992). Precipitation Estimation in Mountainous Terrain
747 Using Multivariate Geostatistics. Part I: Structural Analysis. *Journal of Applied*
748 *Meteorology*, 31, 661–676.
- 749 Hicke, J., & Logan, J. (2009). Mapping whitebark pine mortality caused by a mountain pine
750 beetle outbreak with high spatial resolution satellite imagery. *International Journal of*
751 *Remote Sensing*, 30(17), 4427–4441. doi:10.1080/01431160802566439
- 752 Homer, C., Dewitz, J., Fry, J., Coan, M., Hossain, N., Larson, C., Herold, N., Mckerrow, A.,
753 Vandriel, J. N., & Wickham, J. (2007). Completion of the 2001 National Land Cover
754 Database for the Conterminous United States. *Photogrammetric Engineering & Remote*
755 *Sensing*, 337–341.
- 756 Homer, C., Huang, C., Yang, L., Wylie, B., & Coan, M. (2004). Development of a 2001 National
757 Land-Cover Database for the United States. *Photogrammetric Engineering & Remote*
758 *Sensing*, 70(7), 829–840.
- 759 Huang, C., Asner, G. P., Barger, N. N., Neff, J. C., & Floyd, M. L. (2010). Regional
760 aboveground live carbon losses due to drought-induced tree dieback in piñon–juniper
761 ecosystems. *Remote Sensing of Environment*, 114(7), 1471–1479.
762 doi:10.1016/j.rse.2010.02.003

- 763 Johnson, E. A., & Fryer, G. I. (1987). Historical vegetation change in the Kananaskis Valley,
764 Canadian Rockies. *Canadian Journal of Botany*, 65(5), 853–858. doi:10.1139/b87-116
- 765 Jones, H. G., & Vaughan, R. H. (2010). *Remote Sensing of Vegetation: Principles, Techniques,*
766 *and Applications* (p. 400). Oxford, UK: Oxford University Press.
- 767 Kadmon, R., & Harari-Kremer, R. (1999). Studying Long-Term Vegetation Dynamics Using
768 Digital Processing of Historical Aerial Photographs. *Remote Sensing of Environment*, 68(2),
769 164–176. doi:10.1016/S0034-4257(98)00109-6
- 770 Kennedy, R., & Spies, T. (2004). Forest cover changes in the Oregon Coast Range from 1939 to
771 1993. *Forest Ecology and Management*, 200, 129–147. doi:10.1016/j.foreco.2003.12.022
- 772 Lefsky, M., & Cohen, W. (2003). Selection of remotely sensed data. In M. A. Wulder & S.
773 Franklin (Eds.), *Remote Sensing of Forest Environments: Concepts and Case Studies* (pp.
774 13–47). Boston: Kluwer Academic Publishers.
- 775 Legendre, P., & Fortin, M. (1989). Spatial Pattern and Ecological Analysis. *Vegetatio*, 80(2),
776 107–138.
- 777 Logan, J. A., & Powell, J. A. (2001). Ghost Forests, Global Warming, and the Mountain Pine
778 Beetle (Coleoptera: Scolytidae). *American Entomologist*, 47(3), 160–173.
- 779 Manier, D. J., Hobbs, N. T., Theobald, D. M., Reich, R. M., Kalkhan, M. A., & Campbell, M. R.
780 (2005). Canopy dynamics and human caused disturbance on a semi-arid landscape in the
781 Rocky Mountains, USA. *Landscape Ecology*, 20(1), 1–17. doi:10.1007/s10980-004-3987-4
- 782 Maselli, F. (2004). Monitoring forest conditions in a protected Mediterranean coastal area by the
783 analysis of multiyear NDVI data. *Remote Sensing of Environment*, 89(4), 423–433.
784 doi:10.1016/j.rse.2003.10.020
- 785 Mauser, J. (1988). *Plant Anatomy* (First Ed., p. 560). Menlo Park, CA: The
786 Benjamin/Cummings Publishing Company.
- 787 McGregor, M., Hamel, D., Lood, R., & Meyer, H. (1975). Status of Mountain Pine Beetle
788 Infestation Glacier National Park, 1974. Missoula, MT.
- 789 Meddens, A. J. H., Hicke, J. A., & Ferguson, C. A. (2012). Spatiotemporal patterns of observed
790 bark beetle-caused tree mortality in British Columbia and the western United States.
791 *Ecological Applications*, 22(7), 1876–1891.
- 792 Meddens, A. J. H., Hicke, J. A., & Vierling, L. A. (2011). Evaluating the potential of
793 multispectral imagery to map multiple stages of tree mortality. *Remote Sensing of*
794 *Environment*, 115(7), 1632–1642. doi:10.1016/j.rse.2011.02.018

- 795 Meddens, A. J. H., Hicke, J. A., Vierling, L. A., & Hudak, A. T. (2013). Evaluating methods to
796 detect bark beetle-caused tree mortality using single-date and multi-date Landsat imagery.
797 *Remote Sensing of Environment*, *132*, 49–58. doi:10.1016/j.rse.2013.01.002
- 798 Meigs, G. W., Kennedy, R. E., & Cohen, W. B. (2011). A Landsat time series approach to
799 characterize bark beetle and defoliator impacts on tree mortality and surface fuels in conifer
800 forests. *Remote Sensing of Environment*, *115*(12), 3707–3718.
801 doi:10.1016/j.rse.2011.09.009
- 802 Millar, C. I., Stephenson, N. L., & Stephens, S. L. (2007). Climate change and forests of the
803 future: managing in the face of uncertainty. *Ecological Applications*, *17*(8), 2145–51.
- 804 Moran, M. S., Jackson, R. D., Slater, P. N., & Teiuet, P. M. (1992). Evaluation of Simplified
805 Procedures for Retrieval of Land Surface Reflectance Factors from Satellite Sensor Output.
806 *Remote Sensing of Environment*, *41*, 169–184.
- 807 NASA. (2013). The Multispectral Scanner System. Retrieved April 15, 2013, from
808 <http://landsat.gsfc.nasa.gov/about/mss.html>
- 809 Nemani, R., Hashimoto, H., Votava, P., Melton, F., Wang, W., Michaelis, A., Mutch, L., Milesi,
810 C., Hiatt, S., & White, M. (2009). Monitoring and forecasting ecosystem dynamics using
811 the Terrestrial Observation and Prediction System (TOPS). *Remote Sensing of Environment*,
812 *113*(7), 1497–1509. doi:10.1016/j.rse.2008.06.017
- 813 Parmenter, A. W., Hansen, A. J., Kennedy, R. E., Cohen, W. B., Langner, U., Lawrence, R.,
814 Maxwell, B., Gallant, A., & Aspinall, R. (2003). Land Use and Land Cover Change in the
815 Greater Yellowstone Ecosystem: 1975 – 1995. *Ecological Applications*, *13*(3), 687–703.
- 816 Pettorelli, N., Vik, J. O., Mysterud, A., Gaillard, J. M., Tucker, C. J., & Stenseth, N. C. (2005).
817 Using the satellite-derived NDVI to assess ecological responses to environmental change.
818 *Trends in ecology & evolution*, *20*(9), 503–10. doi:10.1016/j.tree.2005.05.011
- 819 Platt, R. V., & Schoennagel, T. (2009). An object-oriented approach to assessing changes in tree
820 cover in the Colorado Front Range 1938–1999. *Forest Ecology and Management*, *258*(7),
821 1342–1349. doi:10.1016/j.foreco.2009.06.039
- 822 Powell, J. A., & Logan, J. A. (2005). Insect seasonality: circle map analysis of temperature-
823 driven life cycles. *Theoretical population biology*, *67*(3), 161–79.
824 doi:10.1016/j.tpb.2004.10.001
- 825 R Development Core Team. (2011). R: A language and environment for statistical computing.
826 Vienna, Austria: R Foundation for Statistical Computing. Retrieved from [http://www.r-](http://www.r-project.org/)
827 [project.org/](http://www.r-project.org/)
- 828 Raffa, K. F., Aukema, B. H., Bentz, B. J., Carroll, A. L., Hicke, J. A., Turner, M. G., & Romme,
829 W. H. (2008). Cross-scale Drivers of Natural Disturbances Prone to Anthropogenic

- 830 Amplification: The Dynamics of Bark Beetle Eruptions. *BioScience*, 58(6), 501.
831 doi:10.1641/B580607
- 832 Reich, R. M., Aguirre-Bravo, C., Bravo, V. A., & Briseño, M. M. (2011). Empirical evaluation
833 of confidence and prediction intervals for spatial models of forest structure in Jalisco,
834 Mexico. *Journal of Forestry Research*, 22(2), 159–166. doi:10.1007/s11676-011-0144-1
- 835 Rencz, A. N., & Nemeth, J. (1985). Detection of Mountain Pine Beetle Infestation Using Landsat
836 MSS and Simulated Thematic Mapper Data. *Canadian Journal of Remote Sensing*, 11(1),
837 50–58.
- 838 Rouse, J. W., Hass, R. H., Schell, J. A., Deering, D. W., & Harlan, J. C. (1974). Monitoring the
839 vernal advancement of retrogradation of natural vegetation. *Type III, Final Report*.
840 Greenbelt, Maryland.
- 841 Schott, J. R., Salvaggio, C., & Volchok, W. J. (1988). Radiometric Scene Normalization Using
842 Pseudoinvariant Features. *Remote Sensing of Environment*, 26, 1–16.
- 843 Schowengerdt, R. A. (2007). *Remote Sensing: Models and Methods for Image Processing* (Third
844 Ed., p. 560). San Diego: Academic Press, San Diego, CA.
- 845 Schroeder, T. A., Cohen, W. B., Song, C., Canty, M. J., & Yang, Z. (2006). Radiometric
846 correction of multi-temporal Landsat data for characterization of early successional forest
847 patterns in western Oregon. *Remote Sensing of Environment*, 103, 16 – 26.
848 doi:10.1016/j.rse.2006.03.008
- 849 Skakun, R. S., Wulder, M. A., & Franklin, S. E. (2003). Sensitivity of the thematic mapper
850 enhanced wetness difference index to detect mountain pine beetle red-attack damage.
851 *Remote Sensing of Environment*, 86(4), 433–443. doi:10.1016/S0034-4257(03)00112-3
- 852 Strand, E., Smith, A., Bunting, S., Vierling, L., Hann, D., & Gessler, P. (2006). Wavelet
853 estimation of plant spatial patterns in multitemporal aerial photography. *International*
854 *Journal of Remote Sensing*, 27(10), 2049–2054. doi:10.1080/01431160500444764
- 855 Swetnam, T. W., Allen, C. D., & Betancourt, J. L. (1999). Applied Historical Ecology: Using the
856 Past To Manage for the Future. *Ecological Applications*, 9(4), 1189–1206.
857 doi:10.1890/1051-0761(1999)009[1189:AHEUTP]2.0.CO;2
- 858 Townsend, P. A., Singh, A., Foster, J. R., Rehberg, N. J., Kingdon, C. C., Eshleman, K. N., &
859 Seagle, S. W. (2012). A general Landsat model to predict canopy defoliation in broadleaf
860 deciduous forests. *Remote Sensing of Environment*, 119, 255–265.
861 doi:10.1016/j.rse.2011.12.023
- 862 Townshend, J. R., Masek, J. G., Huang, C., Vermote, E. H., Gao, F., Channan, S., Sexton, J. O.,
863 Feng, M., Narasimhan, R., Kim, D., Song, K., Song, D., Song, X., Noojipady, P., Tan, B.,
864 Hansen, M. C., Li, M., & Wolfe, R. E. (2012). Global characterization and monitoring of

- 865 forest cover using Landsat data: opportunities and challenges. *International Journal of*
866 *Digital Earth*, 5(5), 373–397.
- 867 Turner, M. G., & Dale, V. H. (1998). Comparing Large, Infrequent Disturbances: What Have
868 We Learned? *Ecosystems*, 1, 493–496.
- 869 Turner, M. G., Hargrove, W. W., Gardner, R. H., & Romme, W. H. (1994). Effects of Fire on
870 Landscape Heterogeneity in Yellowstone National Park, Wyoming. *Journal of Vegetation*
871 *Science*, 5, 731–742.
- 872 Upton, G. J. G., & Fingleton, B. (1985). *Spatial data Analysis by Example. Volume 1: Point*
873 *Pattern and Quantitative Data* (p. 410). Chichester: John Wiley & Sons.
- 874 USGS. (2012). EarthExplorer archive. Retrieved March 01, 2012, from
875 <http://edcsns17.cr.usgs.gov/NewEarthExplorer/>
- 876 Veblen, T. T., Hadley, K. S., Nel, E. M., Kitzberger, T., Reid, M., & Villalba, R. (1994).
877 Disturbance regime and disturbance interactions in a Rocky Mountain subalpine forest.
878 *Journal of Ecology*, 82, 125–135.
- 879 Vicente-Serrano, S., Perezcabello, F., & Lasanta, T. (2008). Assessment of radiometric
880 correction techniques in analyzing vegetation variability and change using time series of
881 Landsat images. *Remote Sensing of Environment*, 112(10), 3916–3934.
882 doi:10.1016/j.rse.2008.06.011
- 883 Vogelmann, J. E., Tolk, B., & Zhu, Z. (2009). Monitoring forest changes in the southwestern
884 United States using multitemporal Landsat data. *Remote Sensing of Environment*, 113(8),
885 1739–1748. doi:10.1016/j.rse.2009.04.014
- 886 Vogelmann, J. E., Xian, G., Homer, C., & Tolk, B. (2012). Monitoring gradual ecosystem
887 change using Landsat time series analyses: Case studies in selected forest and rangeland
888 ecosystems. *Remote Sensing of Environment*, 122, 92–105. doi:10.1016/j.rse.2011.06.027
- 889 Volcani, A., Karnieli, A., & Svoray, T. (2005). The use of remote sensing and GIS for spatio-
890 temporal analysis of the physiological state of a semi-arid forest with respect to drought
891 years. *Forest Ecology and Management*, 215(1-3), 239–250.
892 doi:10.1016/j.foreco.2005.05.063
- 893 Weber, F. P., Roberts, E. H., & Waite, T. H. (1975). Forest Stress Detection: Ponderosa Pine
894 Mortality from Mountain Pine Beetle. In *Evaluation of ERTS-1 Data for Forest and*
895 *Rangeland Surveys* (pp. 44–61). Berkeley, California: USDA Forest Service Research Paper
896 PSW-112.
- 897 White, J., Wulder, M. A., Brooks, D., Reich, R., & Wheate, R. (2005). Detection of red attack
898 stage mountain pine beetle infestation with high spatial resolution satellite imagery. *Remote*
899 *Sensing of Environment*, 96(3-4), 340–351. doi:10.1016/j.rse.2005.03.007

- 900 Woodcock, C., Allen, R., Anderson, M., Belward, A., Bindschadler, R., Cohen, W., Gao, F.,
901 Goward, S., Helder, D., Helmer, E., Nemani, R., Oreopoulos, L., Schott, J., Thenkabail, P.,
902 Vermote, E., Vogelmann, J., Wulder, M. A., & Wynne, R. (2008). Free Access to Landsat
903 Imagery. *Science*, *320*, 1011.
- 904 Wulder, M. A., Dymond, C., White, J. C., Leckie, D. G., & Carroll, A. L. (2006a). Surveying
905 mountain pine beetle damage of forests: A review of remote sensing opportunities. *Forest*
906 *Ecology and Management*, *221*, 27–41.
- 907 Wulder, M. A., White, J. C., Bentz, B. J., Alvarez, M. F., & Coops, N. C. (2006b). Estimating
908 the probability of mountain pine beetle red-attack damage. *Remote Sensing of Environment*,
909 *101*, 150–166.
- 910 Yuan, D., & Elvidge, C. D. (1996). Comparison of relative radiometric normalization techniques.
911 *Photogrammetry and Remote Sensing*, *51*(3), 117–126. doi:10.1016/0924-2716(96)00018-4
- 912
- 913

914 Table 1. Spectral characteristics of Landsat MSS imagery (NASA, 2013).

Band	Wavelength	Spectral Region
1	500-600 nm	Green
2	600-700 nm	Red
3	700-800 nm	Near-infrared
4	800-1100 nm	Near-infrared

915

916

917 Table 2. Satellite imagery scene information and acquisition date used in the analysis.

Satellite	Scene Path/Row	Acquisition Date (year-month-day)
Landsat 1	44/26	19730910
Landsat 1	44/26	19740923
Landsat 2	44/26	19760921
Landsat 2	44/26	19770811
Landsat 3	44/26	19780902
Landsat 3	44/26	19790915
Landsat 2	44/26	19810913
Landsat 4	41/26	19830924
Landsat 5	41/26	19870911

918

919 Table 3. Spectral indices calculated with the Landsat MSS reflectance data; NDVI (Normalized
 920 Difference Vegetation Index), RGI (Red Green Index), and GNDVI (Green Normalized
 921 Difference Vegetation Index).

Spectral Index	Equation	Source
NDVI	$NDVI = \frac{MSS_{Band4} - MSS_{Band2}}{MSS_{Band4} + MSS_{Band2}}$	Rousse et al. 1974
RGI	$RGI = \frac{MSS_{Band2}}{MSS_{Band1}}$	Coops et al. 2006
GNDVI	$GNDVI = \frac{MSS_{Band4} - MSS_{Band1}}{MSS_{Band4} + MSS_{Band1}}$	Gitelson et al. 1996

922

923

924 Table 4. Descriptive statistics of estimated tree canopy mortality from the aerial photo plots
 925 grouped by aspect class ($n=267$).

Aspect	Number of Plots	Tree Canopy Mortality Statistics			
		Mean	Minimum	Maximum	S.D.
North	46	54.9	4.4	91.8	23.6
East	47	49.4	12.8	93.9	24.0
South	75	54.1	17.0	99.2	20.8
West	93	68.3	12.7	99.8	21.3

926

927

928

929 Table 5. Comparison of model evaluation metrics.

Model	AIC	R^2	MAE	RMSE	10-fold Cross Validation Prediction Error
NDVI + G	-237.55	0.65	10.8%	13.6%	15.4%
NDVI	-204.44	0.60	11.6%	14.5%	16.8%
PCA	-193.43	0.60	11.9%	14.6%	20.4%
GNDVI	-183.13	0.55	12.4%	15.6%	17.3%
RGI	-87.32	0.34	15.3%	18.8%	20.7%

930

931

932 Table 6. Predictor variables used in the NDVI + G GLS model. Estimates of the model
 933 parameters are listed for the west and east sides accordingly. The variables *Aspect* and *Total # of*
 934 *Years* (low was the only category retained in the stepwise model) were treated as indicator
 935 variables in the analysis. **P-value* is significant at 0.05 or lower.

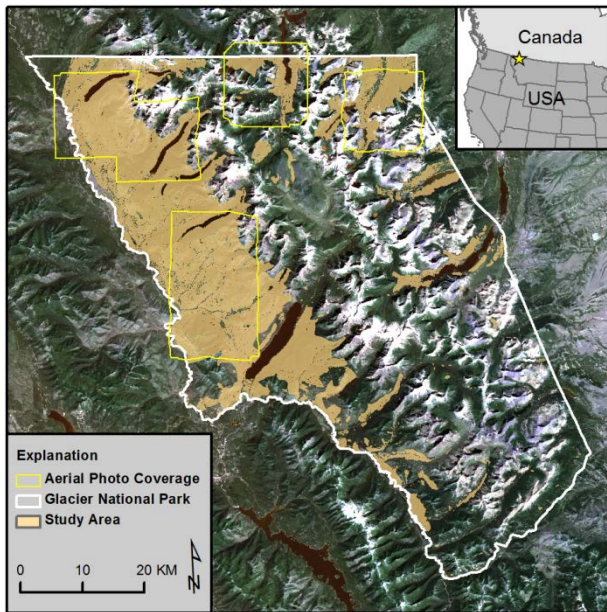
Variable	West Coefficient	East Coefficient
(Intercept)	3.18413*	3.43654*
Aspect		
N	0.13478	-1.42706*
S	-0.24309	-
W	-0.58963*	-0.17668*
green.1973	-9.58080*	-
green.1974	-8.60109*	-
green.1977	-9.97942*	-
green.1978	-10.69192*	-12.20042
green.1979	-5.24848	-
green.1983	6.49274	-
green.1987	8.11468*	-11.77014*
Total # of Years - Low	0.15773*	-
ndvi.1973	-	-0.37092
ndvi.1974	-0.03773	-
ndvi.1976	0.41517*	-
ndvi.1977	-1.08326*	-1.74362*
ndvi.1978	-0.36746	-
ndvi.1979	0.40112	-
ndvi.1981	-1.42992*	-
ndvi.1983	-0.40449*	-0.50511
ndvi.1973 x N	-	2.01483
ndvi.1974 x N	-1.24923*	-
ndvi.1978 x N	1.69811*	-
ndvi.1978 x W	1.10049*	-
ndvi.1979 x N	-1.78641*	-
ndvi.1979 x S	-1.18434*	-
ndvi.1979 x W	-1.49155*	-
ndvi.1981 x S	1.52377*	-
ndvi.1981 x W	1.20919*	-
ndvi.1983 x N	0.9887*	-

936

937

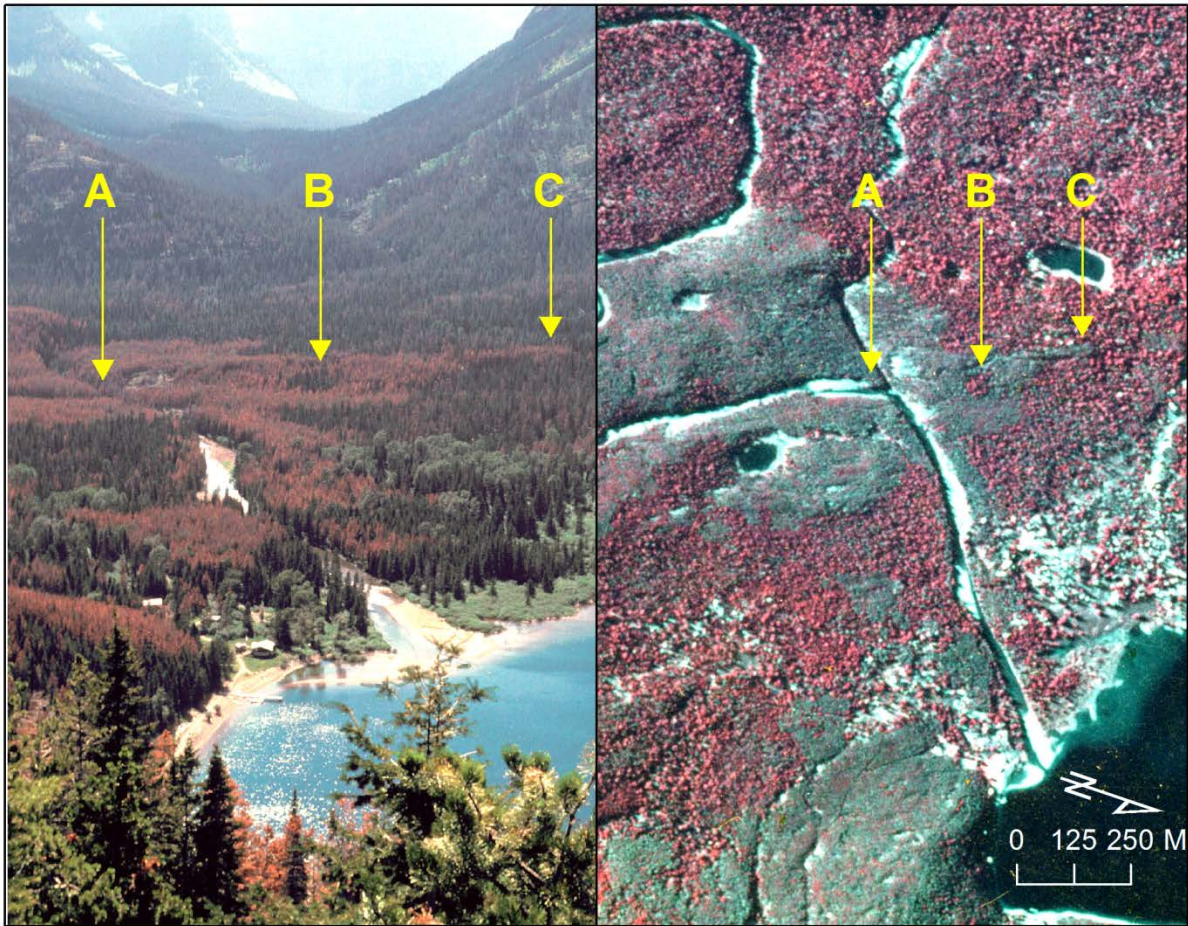
938

939
940
941
942
943
944
945
946



947
948
949
950
951
952
953
954

Figure 1. Location of study area and extent of aerial photo coverage. Background image is Landsat Thematic Mapper (TM) Imagery (bands 3, 2, 1) acquired on August 25, 2010. Yellow polygons represent the location and extent of aerial photograph coverage; tan area represents the confined study area.



955

956 Figure 2. (Left) Landscape photo taken in the Summer of 1980 showing a mixture of live and
957 dead trees in the red attack stage in Waterton Valley (source: Glacier National Park
958 Research Library). (Right) A color-infrared aerial photo of the same area acquired in
959 October 1980 (source: NASA/Glacier National Park). The mosaic of live and dead forest
960 can be identified in both images. The letters correspond to the same area in each photo (A =
961 stream confluence, B = small patch of live trees, surrounded by dead forest, C = linear
962 ribbon of dead forest).

963

964

965

966

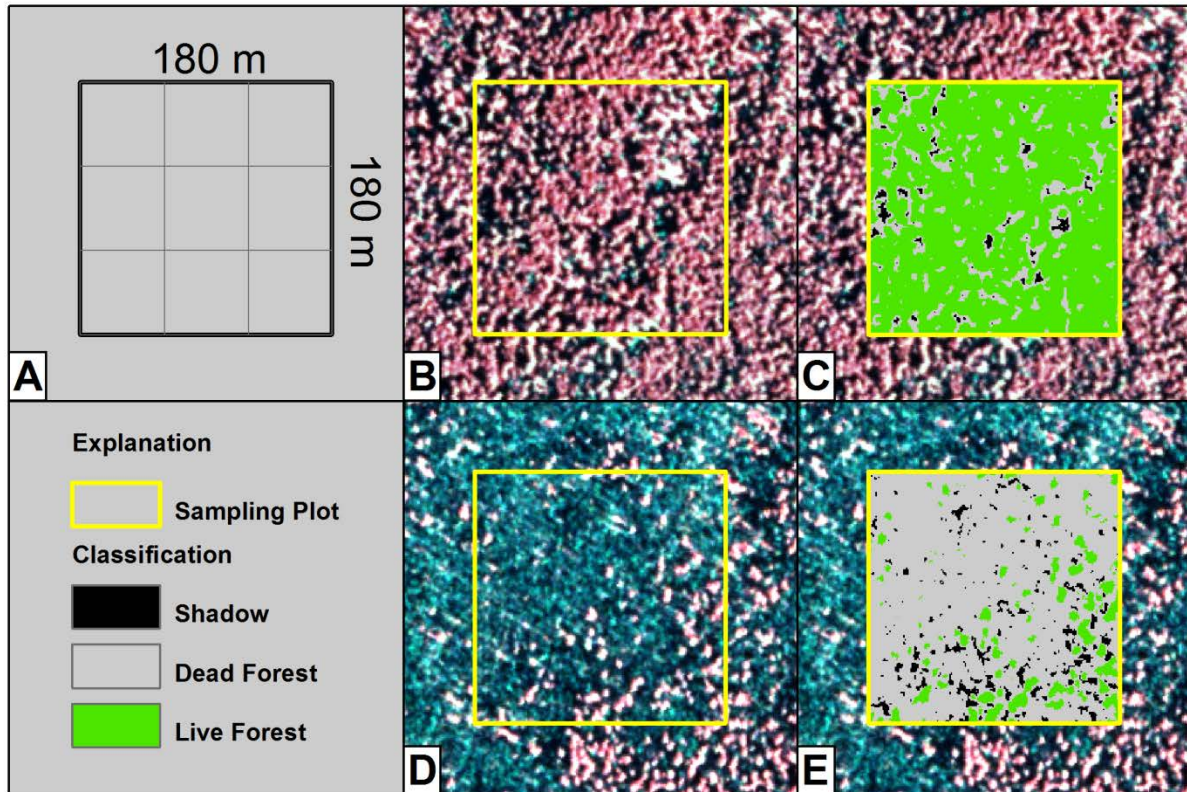
967

968

969

970

971



972

973 Figure 3. (A) Plot used to sample aerial photos. The 180 m x 180 m plot size was chosen to
974 include a 3 x 3 block of Landsat MSS pixels. (B) Sampling plot overlaid on color infrared
975 photo at a low mortality site. (D) Sampling plot overlaid on color infrared photo at a high
976 mortality site. (C) Output classification from sampling plot in panel B (live canopy cover =
977 83%). (E) Output classification from sampling plot in panel D (live canopy cover = 10%).

978

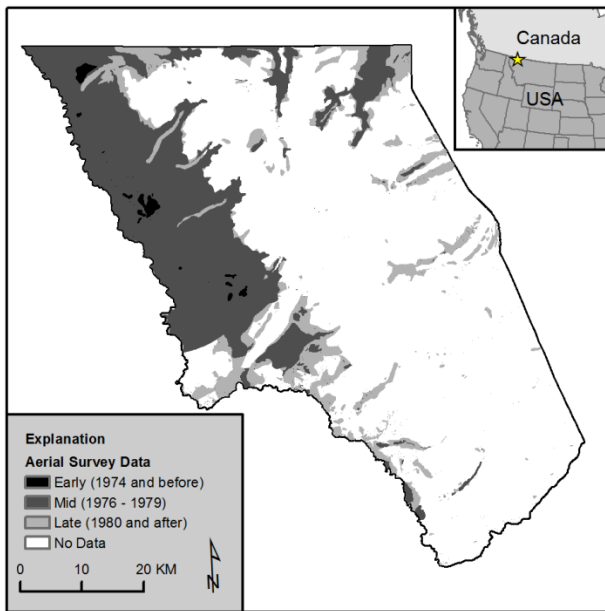
979

980

981

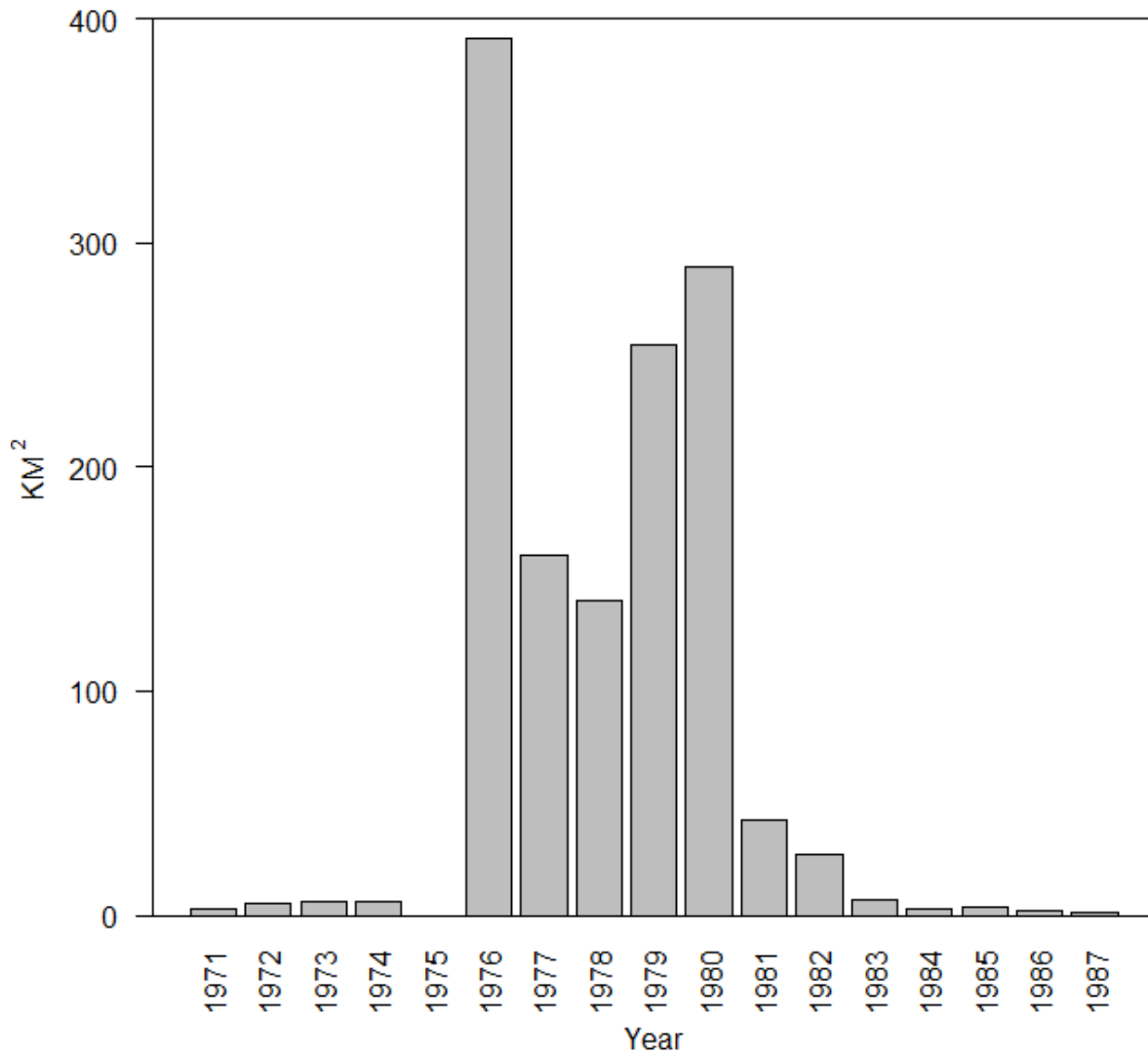
982

983
984
985
986
987
988
989
990
991



992

993 Figure 4. Mapped area impacted by mountain pine beetle according to the aerial detection survey
994 data. Note: there was no data available for 1975.



995

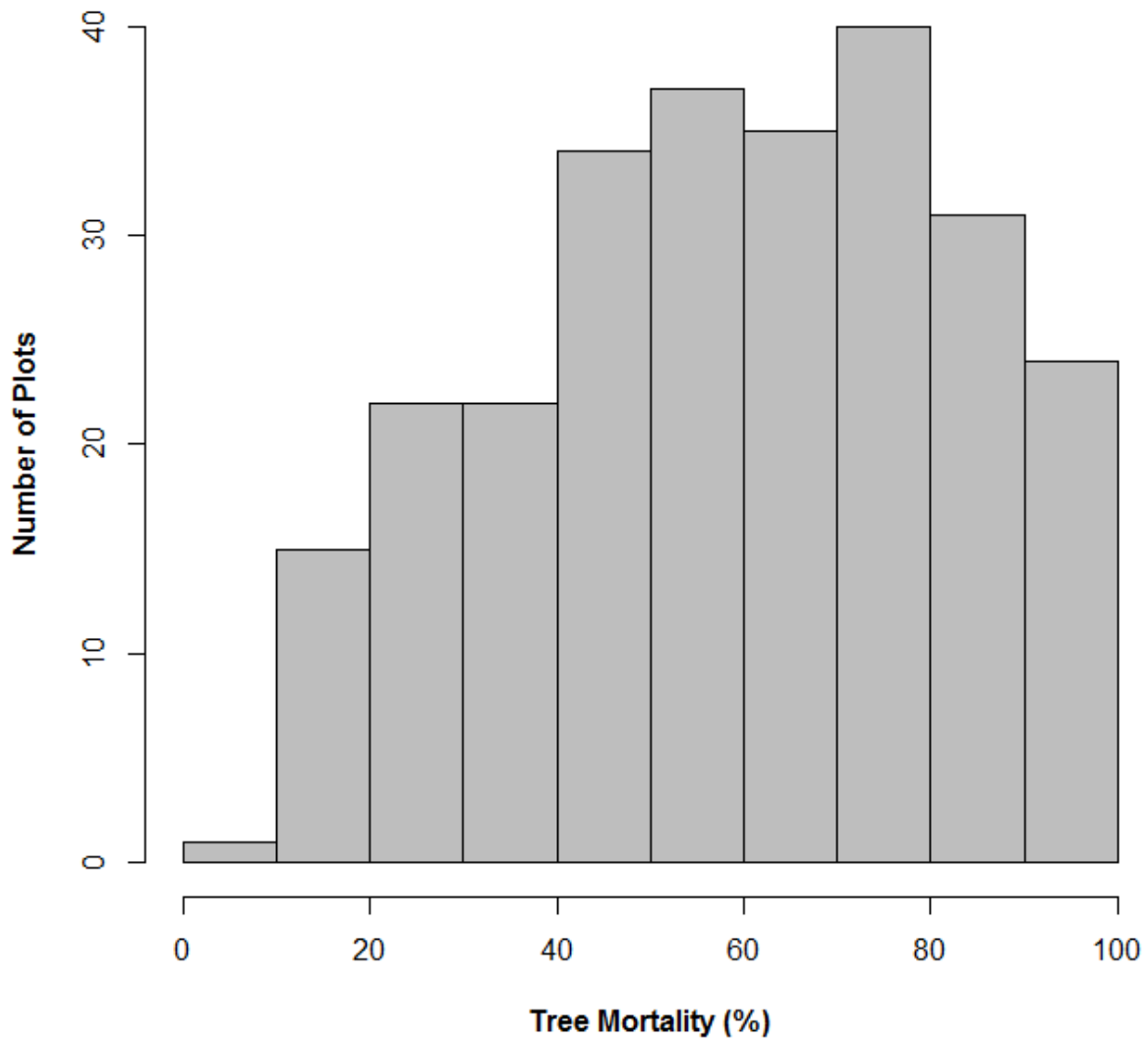
996 Figure 5. Area impacted by mountain pine beetle annually based on aerial detection survey data.

997 Note: there was no data available for 1975.

998

999

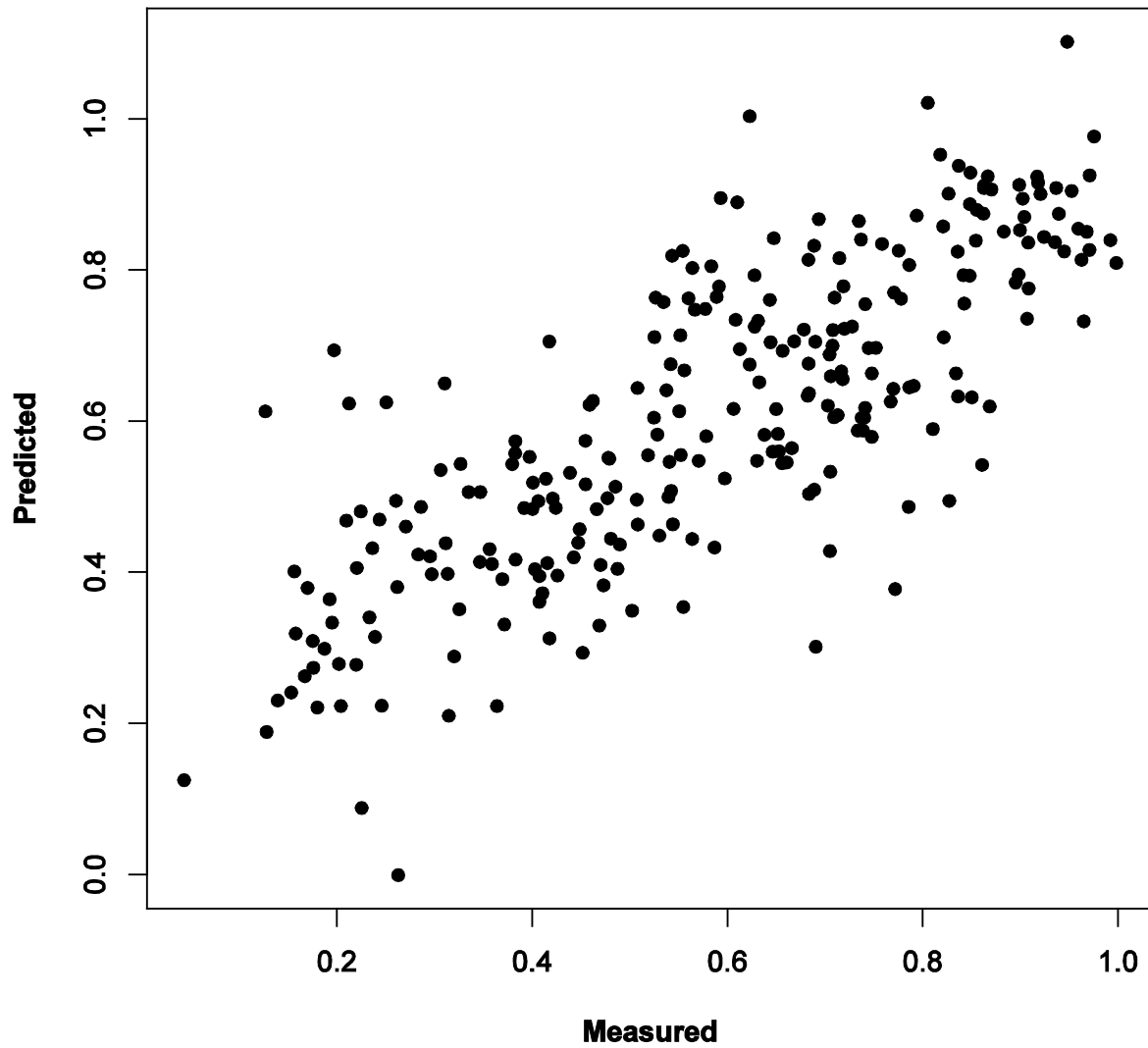
1000



1001

1002 Figure 6. Histogram of canopy tree mortality (%) for all plots ($n=267$).

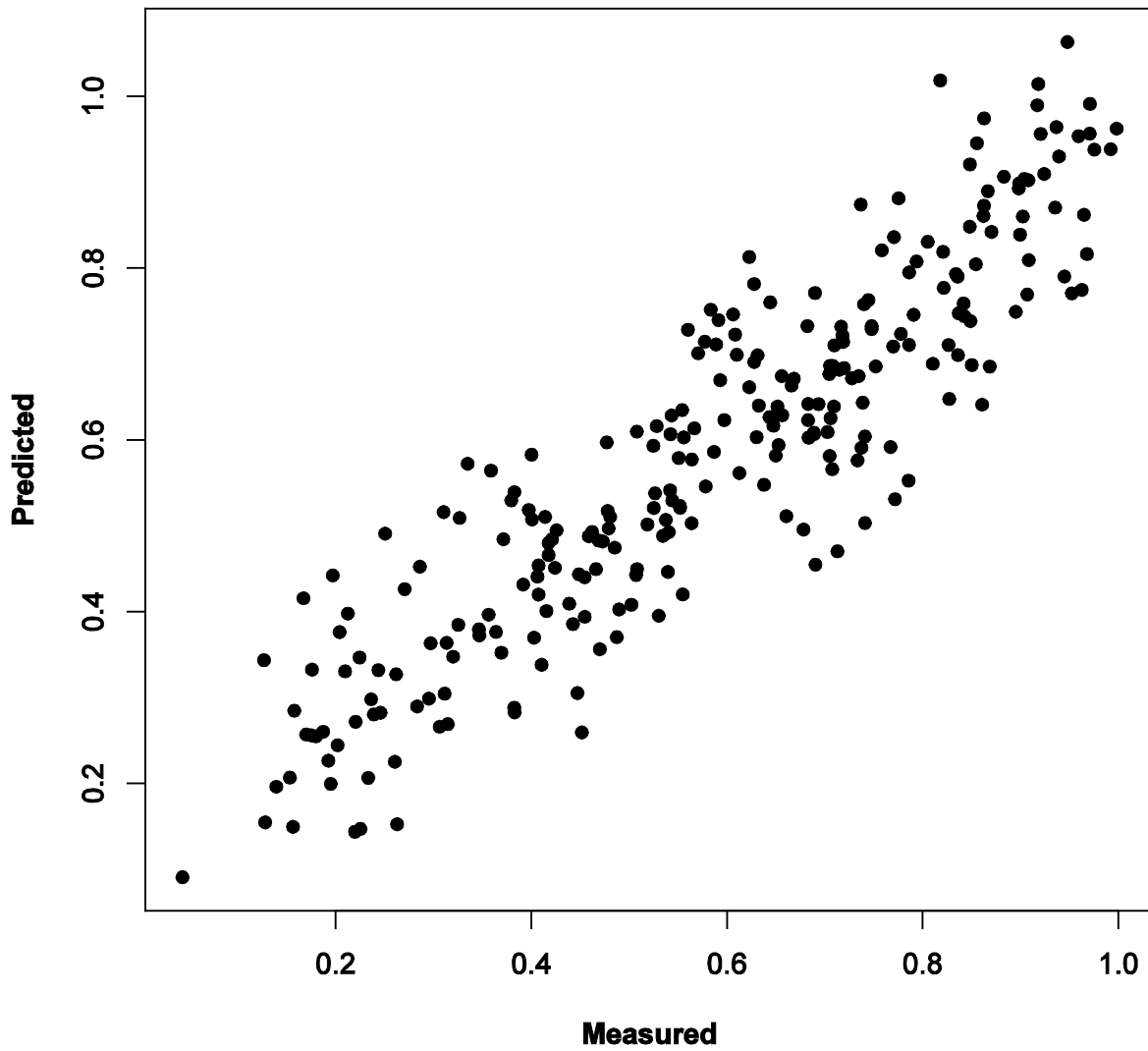
1003



1004

1005 Figure 7. The output of the NDVI+G GLS model used to estimate canopy change over time due
1006 to mortality.

1007



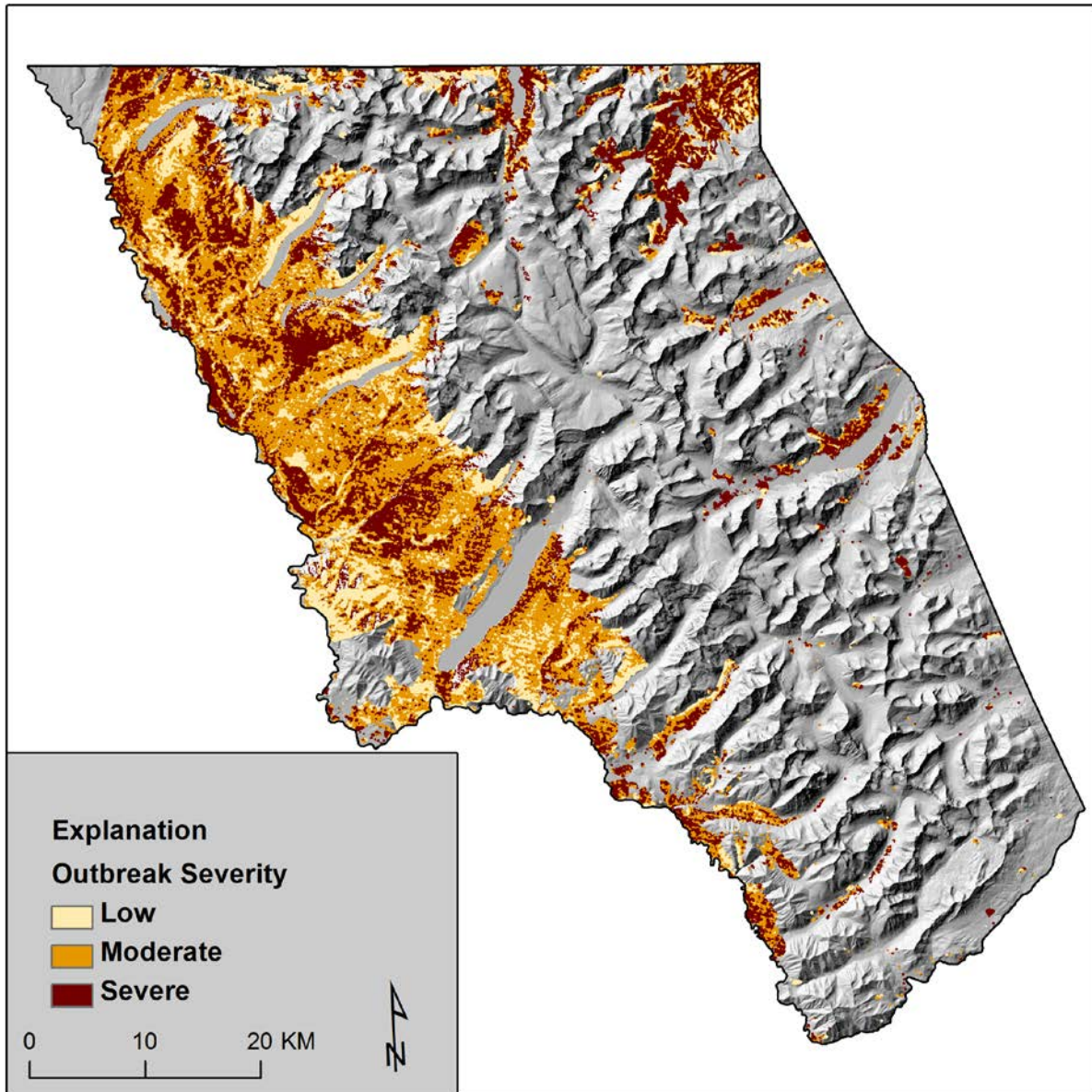
1008

1009 Figure 8. The output of the combined GLS-CART model used to estimate canopy change over
1010 time due to mortality.

1011

1012

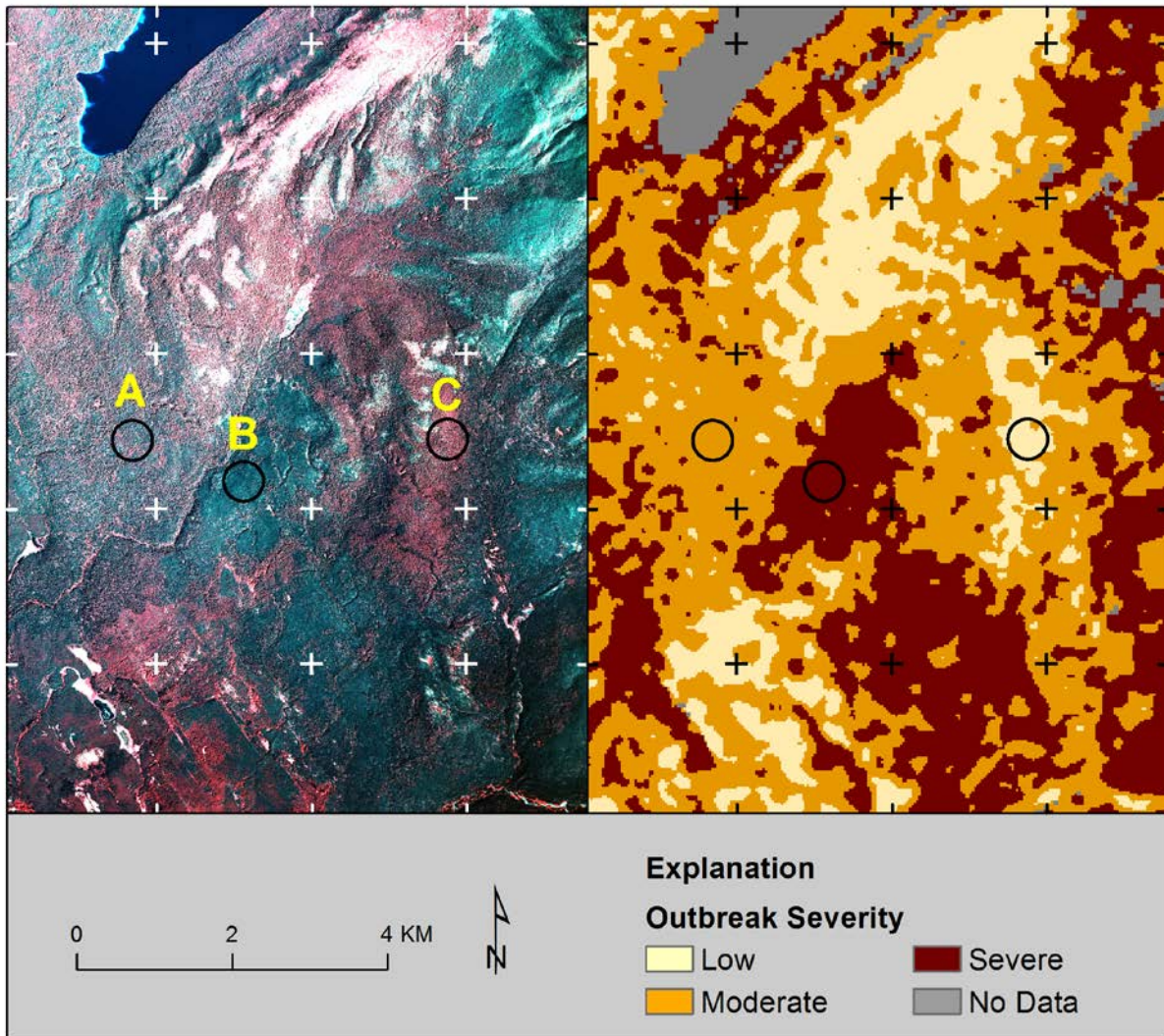
1013



1014

1015 Figure 9. The output of the spatial model classified into three severity levels.

1016



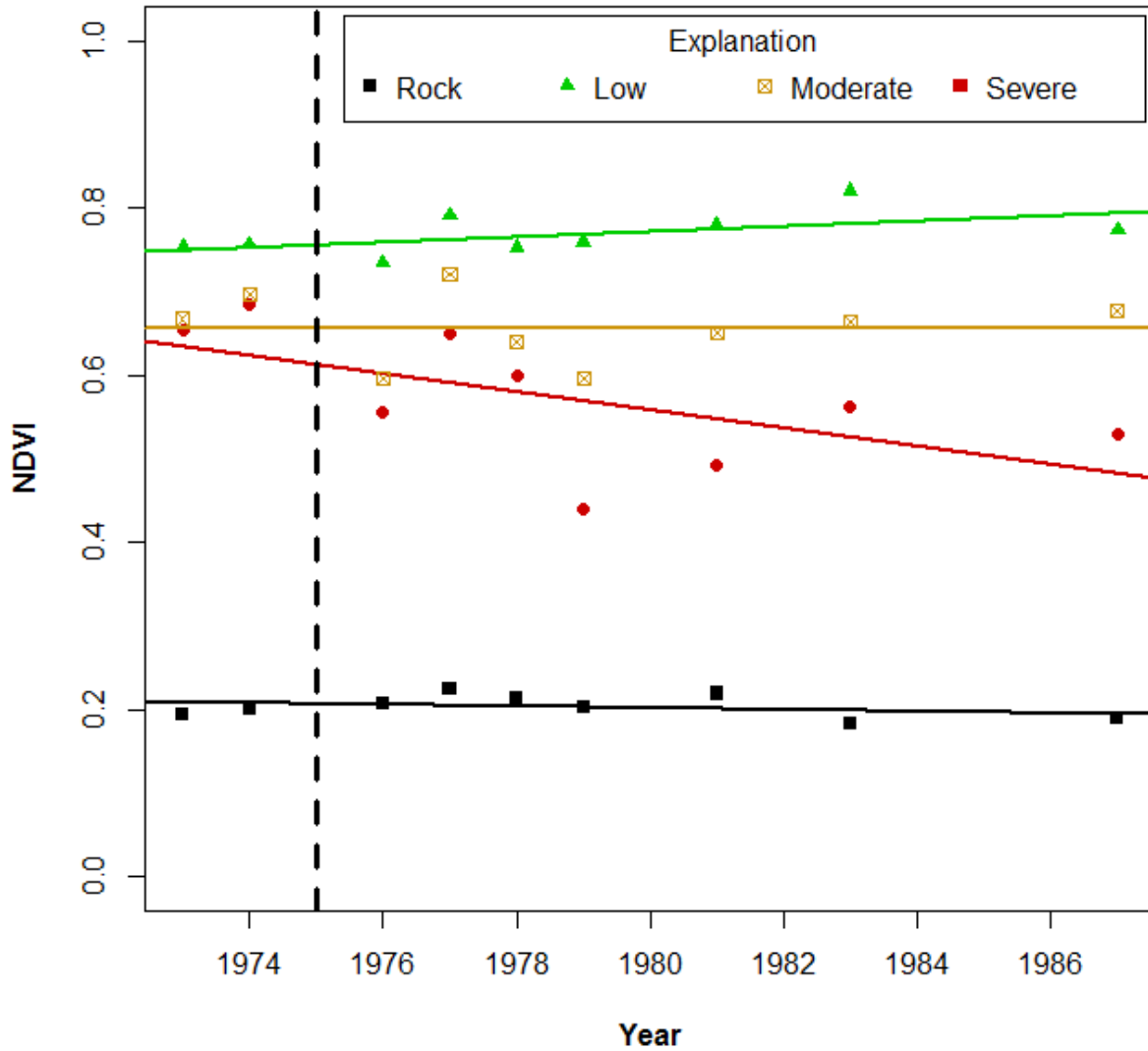
1017

1018 Figure 10. (Left) Color-infrared photo (year acquired = 1982). (Right) Classified map result of
1019 the same area (focal window applied). Black polygons correspond to spectral trajectories in
1020 Figure 11 (A=Moderate, B=Severe, C=Low). Note: tick marks are spaced on a 2 km grid;
1021 black polygons are 0.2 km² (20 hectares) in size.

1022

1023

1024



1025

1026 Figure 11. Spectral trajectories of classified outbreak severity. The three trajectories correspond
1027 to the polygons identified in Figure 10. Note: rock features are included to demonstrate the
1028 success of the image normalization process and the stability of pseudo-invariant features
1029 over time.

1030

1031